



INVESTOR IN PEOPLE

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

## PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

REC'D 07 JAN 2005

WIPO

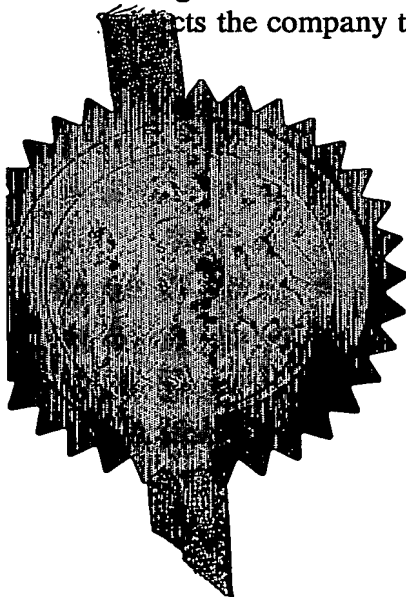
PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

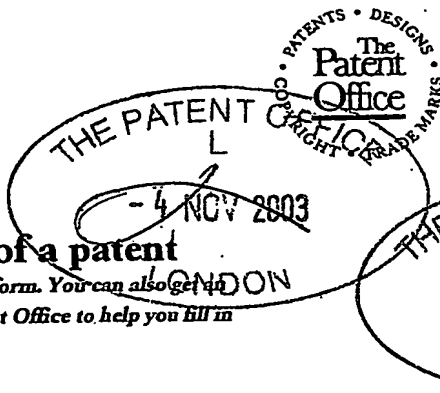
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 21 December 2004

BEST AVAILABLE COPY



04NOV03 1849105-1 002000  
P01/7700-9.00-0325622.9

1/77

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

1. Your reference PDC/MPF/25780GB
2. Patent application number  
(The Patent Office will fill in this part) 0325622.9
3. Full name, address and postcode of the or of each applicant (underline all surnames)  
  
Cambridge Consultants Limited  
Science Park  
Milton Road  
Cambridge CB4 0DW  
  
Patents ADP number (if you know it) 00361618004  
  
If the applicant is a corporate body, give the country/state of its incorporation UNITED KINGDOM
4. Title of the invention System for Determining Positional Information
5. Name of your agent (if you have one) MATHYS & SQUIRE  
  
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)  
  
100 Gray's Inn Road  
London WC1X 8AL  
United Kingdom  
  
Patents ADP number (if you know it) 1081001 ✓
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number  

Country	Priority application number (if you know it)	Date of filing (day / month / year)
---------	---	--
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application  

Number of earlier application	Date of filing (day / month / year)
-------------------------------	--
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.  
See note (d)) YES

# Patents Form 1/77

Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document

Continuation sheets of this form

Description	56
Claim(s)	21 <i>DL</i>
Abstract	1
Drawing(s)	13 + 13

10. If you are also filing any of the following, state how many against each item.

Priority documents	—
Translations of priority documents	—
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	—
Request for preliminary examination and search (Patents Form 9/77)	ONE
Request for substantive examination (Patents Form 10/77)	—
Any other documents (please specify)	—

11.

I/We request the grant of a patent on the basis of this application.

Signature

*Mathys & Squire* Date

MATHYS & SQUIRE

3 November 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

Dr Paul Cozens - 020 7830 0000

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

# SYSTEM FOR DETERMINING POSITIONAL INFORMATION

The invention relates to apparatus for determining positional information relating to an object incorporating a transmitter, apparatus for searching a detection volume for  
5 radiating objects, a system for locating an object incorporating a transmitter for use with an object in an object positioning system, a method of determining positional information, a computer readable medium, a computer program product, and a signal.

The invention finds particular application in the fields of object tracking systems,  
10 intrusion detector systems, inventory control, vehicle collision detection and security and workforce tracking systems.

A known prior art system for tracking 'active' transmitter tags is disclosed in the article entitled "Ultra-wideband precision asset location system" (IEEE Conference on Ultra  
15 Wideband Systems and Technologies, May 2002). In this system, several receiving antennas placed in widely spaced-apart locations at corners of a cargo space inside a ship are used to detect ultra-wideband signals emitted by transmitter tags attached to cargo objects within the cargo space. Arc-fitting techniques, relying on synchronisation of the receiving antennas with a central computer and using knowledge of the arrival times of  
20 the identification pulses at each antenna, establish the position of the object in three dimensions.

Problems arise in the prior art system if the direct signal from the object to one of the receivers is weak compared to a delayed reflection of the signal, for example where there  
25 is an obstruction between the object and the receiver. In this case, the delayed signal reflection may be incorrectly identified as the pulse arrival time, which in turn can cause the position estimation procedure to fail dramatically. Furthermore, whilst each prior art receiver unit is relatively simple, the need to precisely synchronise each receiver and the computer can require accurate and expensive timing circuitry. Additionally, the reliance  
30 on arc-fitting techniques can limit the accuracy of the system and can also introduce ambiguities.

In order to overcome problems identified in the prior art, there is provided apparatus for determining positional information relating to an object incorporating a transmitter, the apparatus comprising means for receiving a signal transmitted by the transmitter at the  
5 object; and detecting means, coupled to the receiving means, for producing an output from which the angular position of the object can be determined.

By producing an output to allow the angular position, rather than the range, of the object to be determined the position of the object can be determined with greater accuracy.

10

The apparatus is preferably a receiving unit, or sensor, provided within a positioning system, such as an inventory control system which tracks active transmitter tags attached to items of inventory. Preferably the means for receiving the signal is adapted to receive signals having a typically different dynamic range than signals received in passive  
15 systems; in an active system, the signal strength varies as  $1/D^2$  (where D is the distance to the object being tracked), compared to  $1/D^4$  in a passive system. Preferably the receiving means has a dynamic range of greater than 15 dB, preferably greater than 20 dB, 25 dB, 27dB or 30 dB. Preferably also the means for receiving the signal and/or the detecting means are adapted to receive and/or detect a wider range of signal shapes and/or  
20 frequencies than are typically received in passive systems, since again the generation of signal shape and/or frequency is outside the direct control of the apparatus, and will likely be more varied.

Preferably the receiving means is adapted to receive an ultra-wide band (UWB) pulse  
25 spanning a frequency range between 0.5 GHz and 24 GHz, preferably between 2 GHz and 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz. This frequency range is convenient as it imparts the necessary radar characteristics whilst falling within limits specified by radio regulations. The pulse preferably is of the form of a shaped sinusoid, having a strong frequency peak at the sinusoid frequency and substantial side lobes due  
30 to the pulse shaping. Nevertheless, other frequency ranges (and consequently other pulse shapes) are possible.

Preferably the apparatus further comprises means for triggering the detecting means, wherein the triggering means is independent of the generation of the transmitted signal. The triggering means may be a timebase control unit, for example, or a simple clock (such as a crystal oscillator), and may be physically located within the detecting means  
5 or other component of the system. Preferably the triggering means is independent of the generation of the transmitted signal such that there is no defined phase relationship (such as a constantly varying phase or simply constant phase offset) between the transmitted signal and the triggering means, and/or such that the triggering means and the transmitted signal are driven by different clock sources. The term 'triggering' as used herein  
10 preferably connotes applying a stimulus (such as a pulse) to an object so as to cause the object to change state. The provision of such a triggering means can simplify and unify the control of the receivers.

The apparatus preferably also comprises means for receiving triggering control signals,  
15 wherein the triggering means is adapted to trigger the detecting means in dependence on the received triggering control signals. The means for receiving triggering control signals is preferably a communications control unit allowing external agents, such as a control mechanism, to specify parameters of the triggering. By triggering the detecting means in dependence on the received triggering control signals, the apparatus can more easily  
20 be locked on to active objects.

The triggering means is preferably adapted to trigger the detecting means at a frequency determined by the control signals. This can allow an external agent (for example) flexibility in controlling the apparatus, so as to allow it to lock onto a large range of  
25 possible signals. In particular, this gives the system greater flexibility with respect to conventional passive detection systems, which are typically confined to one detection frequency.

If the transmitted signal has a characteristic repetition frequency, the triggering means  
30 may be adapted to trigger the detecting means at a triggering frequency different to the characteristic repetition frequency. Triggering the detecting means with a frequency

different to the characteristic repetition frequency of the received signal can have the effect of creating a moving 'range gate', which can avoid the need to match the phase of the receiver clock with the phase of a transmitter clock. Preferably the triggering frequency  $F_{trig}$  is determined by  $(F_{cr} / n) + F_{diff}$ , where  $F_{cr}$  is the characteristic repetition frequency,  $n$  is an integer frequency divider ratio, and  $F_{diff}$  is a scan rate.

The value of  $n$  may be greater than 1, and preferably greater than 2, 3, 4 or 5. By having an integer frequency divider ratio of greater than 1, the detection means can operate at a lower frequency than the characteristic repetition frequency but without significant loss of information or accuracy (particularly at lower values of  $n$ ). Also,  $F_{diff}$  may be non-zero, and preferably less than 5%, 2% or 1% of the magnitude of  $F_{cr}$ , and more preferably less than 0.5% of the magnitude of  $F_{cr}$ .

Preferably the means for receiving comprises a plurality of receiving elements, and the detecting means is adapted to detect the relative timing of the signal as received at the plurality of locations, whereby the angular position can be determined. Further preferably the plurality of receiving elements are provided at spaced-apart locations in a sensor array, but preferably the spacing between the receiving elements is small compared to the spacing between the apparatus and further like apparatuses. For example, the distance between receiving elements is preferably less than 5%, 1%, 0.5% or 0.1% of the distance between like apparatuses in a typical positioning system. This can provide a convenient and simple way to detect the angular position of the object, although other implementations, such as a single swept directional receiver, are possible.

The plurality of receiving elements is preferably in the form of an electromagnetic antenna array, preferably including three (or more) receiving elements arranged non-collinearly. For example the receiving elements are arranged substantially at the vertices of a right-angled triangular locus (that is, in an L-shaped pattern). Entirely collinear arrangements are also possible.

The receiving elements may be spaced apart by a distance that is the same order of

magnitude as the wavelength  $\lambda$  of the radiation that it is intended to receive. For example, the receiving elements may be spaced apart by a distance  $m\lambda$  where  $m$  is less than 10, and preferably less than 8, 5, 3 or 2, and  $m$  may be greater than 0.1, and preferably greater than 0.2, 0.3, or 0.5.

5

The efficiency with which the elements can radiate or detect radiation decreases as the size of the elements decreases, since the radiation impedance of the elements may decrease with size, and thus the elements themselves should not be too small. On the other hand, the elements should not be too large, because they may become physically  
10 too big for the array, and because grating lobe effects may occur at larger spacings between the elements. In general, the size of the elements is preferably less than  $10\lambda$  or  $4\lambda$  and greater than about  $\lambda/4$ . In preferred embodiments, the size is in the region of  $\lambda/4$  or  $\lambda/2$ , although other values may be used. In one particular example, the elements have a size of about 1.5 cm with a wavelength of about 5 cm. A combination of large element  
15 size and small element spacing can be achieved by vertically stacking and horizontally overlapping the elements.

More advantageously, an electromagnetic antenna array according to the present aspect may include four receiving elements arranged non-collinearly. For example, the  
20 receiving elements may be arranged substantially at the vertices of a quadrilateral locus, more specifically, a trapezoidal or rectangular locus; in which the quadrilateral has long and short parallel sides.

In accordance with this aspect of the invention the electromagnetic antenna array may  
25 include at least three receiving elements arranged non-collinearly such that there is less than two (and preferably less than one) axis of symmetry.

In embodiments where the locus is a trapezium (that is, a quadrilateral having only two sides parallel), this arrangement can ensure that two unequally-spaced pairs of antennas  
30 in parallel planes can be selected, with dissimilar artefacts (for example, grating lobes) in their sensitivity patterns. Advantageously, the short side may be between 0.5 and 1 times (or approximately three-quarters of) the length of the long side. As a specific



example, where the trapezial locus has long and short parallel sides, the length of the shorter side being approximately the wavelength  $\lambda$  of the radiation that the array is intended to transmit and receive, and the length of the longer side is approximately  $3\lambda/2$ . By suitable processing of signals from such an array, the effect of grating lobes can be substantially reduced.

Alternatively, the quadrilateral may have two opposing angles which are substantially right angles, while the other two angles are not right angles. This arrangement can ensure that the main grating lobe for each will point in the correct 3-D direction, while the artefacts will be different, and thus will cancel out.

The plurality of receiving elements are preferably arranged in a substantially planar configuration, whereby the geometry of the receiving elements can allow a more straightforward calculation of angular positions in dependence on signals received at the receiving elements. It is also possible to have one or more receiving elements arranged out of a plane in which the others are arranged, but the angular computations can become more complicated and potentially more ambiguous. In particular, conformal arrangements, with the elements arranged on and conforming with a non-planar surface, are also possible.

The means for receiving may be arranged in a single housing or on a common substrate. Preferably the total physical extent of the means for receiving is less than 10%, 5%, 1% or 0.5% of the maximum detection range of the apparatus, but larger physical extents are possible. The 'means for receiving' preferably refers to the receiving means in a single apparatus, such as a plurality of receiving elements as aforesaid. By providing the means for receiving (and indeed preferably the apparatus itself) in a single housing or on a common substrate, construction of the apparatus can be made cheaper and simpler.

Preferably the apparatus further comprises a communications interface for communicating with a processing means. The communication means may be a conventional communications port, utilising connections including, but not limited to, RS232, Bluetooth, ethernet and radio-frequency links. Alternatively, the communication

means may be a simple electric connection, for example if the processing means is provided in the same housing as the detecting means. This can facilitate the passing to the processing means of the data representative of the signal received at the apparatus.

- 5 The communications interface may be adapted to output a plurality of signals representative of signals received at the apparatus. The communications interface may also be adapted to output the plurality of signals in separate channels (physical or otherwise), or in an analogue or digital multiplexed signal. By outputting a plurality of signals representative of signals received at the apparatus, the processing means can be  
10 provided with the maximum amount of information to assist it to determine the displacement of the object.

- Preferably the communicating means is adapted to receive control signals from the processing means. This can allow the apparatus to be more easily controlled by the  
15 processing means.

- Preferably the apparatus further comprises processing means for processing the output of the detection means to determine positional information relating to the object. Furthermore, the processing means may be adapted to process the output of the detection  
20 means in conjunction with a further angular position so as to determine the displacement of the transmitter associated with the object.

- This feature is also provided independently. Accordingly, in a related aspect of the invention there is provided apparatus for determining positional information relating to  
25 an object incorporating a transmitter, the apparatus comprising input means for receiving from a detection means an output from which the angular position of the transmitter associated with the object can be determined; and processing means for processing the output of the detection means in conjunction with a further angular position so as to determine the displacement of the transmitter associated with the object.

30

Preferably the further angular position is the angular position of the object as determined

with respect to a location substantially remote from the apparatus, such as a location at which a like apparatus is provided, for example. The displacement is preferably an absolute displacement in two or three Cartesian dimensions, but may alternatively be a displacement relative to the location of the apparatus or other object. The term  
5 'displacement' as used herein preferably connotes a Cartesian vector quantity (or equivalent thereof) representing the position of an object with respect to a (preferably fixed) datum. The term may in a strict sense cover a relative movement of an object over the course of a period of time, but preferably also covers a substantially instantaneous and independent positional measurement. Preferably 'displacement' covers positional  
10 information which is more detailed than a single angular measurement, and more preferably is distinct from a plurality of angular measurements.

The processing means is preferably adapted to process the output from the detection means to determine the angular position of the object. Preferably the angular position is  
15 calculated as a function of the differential timings of signals received at a plurality of locations in the means for receiving.

More preferably the processing means is adapted to compute timing differentials between a plurality of signals received from the detection means, and to determine the angular  
20 position in dependence on the timing differentials between at least two of the plurality of signals. Thus the angular position can be determined with reference only to the signal as received, rather than necessarily needing to consider other information.

Alternatively, or additionally, the processing means may be adapted to process the output  
25 from the detection means to determine the pseudorange of the object. The term "pseudorange" as used herein preferably connotes the length of the shortest measured path to a target, often computed with reference to the time taken for a round-trip to the target and back (this time being proportional to the distance travelled). Due to effects such as path reflections and so on, the pseudorange is not necessarily equal to the shortest  
30 distance to the target. Preferably the term "pseudorange" further encompasses a measurement of range derived from a timing signal which incorporates an unknown time

offset, which offset preferably arises from an asynchronous relationship between a transmitter and receiver involved in the measurement of the signal.

5 Preferably the pseudorange is calculated as a function of the signal amplitude received by the means for receiving. Typically, due to multi-path effects, target occlusion and so on, the calculation of pseudoranges can be less accurate than the calculation of angular position, but for many applications the level of accuracy is acceptable.

10 Advantageously, by combining the features of determining the angular position and determining the pseudorange of the object, a functional active object tracking system can be constructed using only one sensor installation, whereas prior art systems can require a minimum of two (or even three) sensor installations in order to function unambiguously.

15 The processing means may be adapted to process the output from the detection means to determine the amplitude of a received signal, and to determine the pseudorange in dependence on the amplitude. This can provide a relatively quick and easy, although not necessarily highly accurate, determination of the pseudorange.

20 Preferably the apparatus further comprises means for outputting a signal representative of the displacement of the object. Preferably the outputted signal comprises two-dimensional or three-dimensional cartesian coordinates, but alternatively the outputted signal may comprise a distance measurement, or may alternatively comprise polar or intrinsic coordinates which can readily be converted into two- or three-dimensional  
25 cartesian coordinates.

If the received signal comprises a pulse train having a characteristic pulse frequency, the detecting means is preferably adapted to output a signal representative of the received pulse train. Preferably the pulse frequency can vary within a predetermined tolerance  
30 limit. By outputting a signal representative of the received pulse train, rather than, say, a measurement derived from, but not representative of, the pulse train (such as an output

from a peak detector, for example), more information can be made available to later processing stages, and thus the accuracy of the system can be improved.

5 The detecting means may be adapted to output a signal representative of the waveform of the received pulses. This can provide substantially all the information which may be required by later stages in order to effect the most accurate processing of the signal.

10 The characteristic pulse frequency may be between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz. In one embodiment, a characteristic pulse frequency of between approximately 9 MHz and 12 MHz is chosen. Preferably the characteristic pulse frequency may vary in use, thereby allowing flexibility in the detection of the relevant signal.

15 The detecting means preferably comprises means for sampling the received pulse train and producing an output having a characteristic sampling frequency related to the characteristic pulse frequency. By sampling the received pulse train, the necessary complex processing can be carried out in the digital domain, rather than in the computationally-limited analogue domain.

20 The sampling means preferably comprises a downsampler, such that the output sampling frequency is lower than the characteristic pulse frequency. By producing an output sampling frequency lower than the characteristic pulse frequency, conventional circuitry can be employed in later stages, avoiding the expensive and complication of high-speed circuits.

25

The output sampling frequency may be between 5 kHz and 100 kHz, preferably between 25 kHz and 90 kHz, and more preferably between 60 kHz and 85 kHz. The output sampling frequency may be in the audio frequency range (although preferably not limited to frequencies which are readily audible to humans). Preferably a frequency of 80 kHz  
30 is chosen. Such a range of output sampling frequencies is considerably easier to process using conventional circuitry than radio-frequency signals.

The apparatus preferably further comprises an input sampling clock operating at substantially a given multiple of the characteristic pulse frequency, an output sampling clock operating at the output sampling frequency, and wherein the sampling means is adapted to sample the signal on receipt of the input sampling clock signal and produce  
5 a sample output on receipt of the output sampling clock signal. This can provide a simple and effective basis for downsampling the signal.

The given multiple of the characteristic pulse frequency may be an integer multiple (such as 1, 2, 3, and so on), or preferably an integer fraction (such as  $1/2$ ,  $1/3$ ,  $1/4$ , and so on),  
10 or possibly any other non-integer multiple. Preferably a multiple of 0.25 is used. It will be understood that the sampling clock frequency will typically be a multiple of the characteristic frequency, except that there can be a frequency offset/deviation which will vary from system to system.

15 The sampling means is preferably adapted to produce the sample output as an average of the input samples taken since the previous output sampling clock signal. Preferably a sample-and-hold unit is provided in order to produce the average of the input samples, and preferably the average is a mean average, although it may also be a median or mode average. Thus signals coinciding with the input sampling clock frequency are reinforced,  
20 and other (noise) frequencies tend to cancel out, thereby potentially increasing the signal-to-noise ratio of the system. Preferably between 10 and 60 input samples, more preferably between 20 and 50 input samples, and more preferably still between 30 and 40 input samples are taken are used to produce each output sample.

25 Preferably the sampling means is adapted to advance the phase of the input sampling clock by a predetermined amount after the sample output is produced. This feature can create a time-stretching effect, whereby the output samples are a time-stretched representation of the input signal waveform. This can also allow a range of time/space to be scanned sequentially. Preferably the predetermined phase shift is substantially  
30 smaller than the input sampling clock frequency, and preferably less than 20%, 10%, 5% or 1% of the input sampling clock frequency.

The receiving means may be adapted to receive at least one signal further to the signal transmitted at the object, further comprising means for discriminating between the signal transmitted at the object and the or each further signal. Preferably the means for  
5 discriminating is adapted to discriminate between the signal and more than 1, 2, 5, 10, 20 or 50 further signals.

This feature is also provided independently. Accordingly, in a further aspect of the invention there is provided apparatus for determining positional information relating to  
10 an object incorporating a transmitter, the apparatus comprising means for receiving a plurality of signals transmitted by a plurality of transmitters including the transmitter associated with the object; means for discriminating between the signal transmitted at the object and any further received signals, in dependence on identification information received by the discriminating means; and means for determining the angular position of  
15 the object in dependence on the discriminated signal, whereby the positional information relating to the object can be determined. The discriminating means is preferably adapted to discriminate in respect of the signal in dependence on a characteristic property of the signal.

20 The characteristic property may be a pulse repetition frequency of the signal. The pulse repetition frequency is preferably the characteristic frequency. Preferably a range of pulse repetition frequencies (PRFs) is used, having a relative frequency offset which may be, for example,  $n \times 250$  kHz at a 6 GHz carrier frequency, where  $n$  is an integer greater than or equal to 1. This can allow up to 12 different objects to occupy the same detection  
25 space. Narrower frequency bins can be provided, increasing the number of objects which can be discriminated between, provided sufficiently high signal-to-noise ratio can be achieved, and *vice versa*.

The characteristic property may be a pulse position modulation characteristic. Preferably  
30 the modulation involves 'jittering' the pulses, in a form of binary phase shift keying (BPSK) or any other suitable modulation technique.

Alternatively, or additionally, the signal may be time-division multiplexed. Preferably conventional TDMA algorithms, standards and chipsets are used. FDMA and CDMA standards and chipsets may also be used. Advantageously, the use of modulation  
5 techniques and frequency shifts may be combined to create a large range of unique, identifiable object 'signatures'.

Preferably the discriminating means comprises a detection clock operable to drive the detection of the signal, and means for setting the detection clock in dependence on a  
10 selected signal frequency, whereby signals having the selected signal frequency are preferentially detected by the apparatus. Preferably the detection clock is an input sampling clock as aforesaid.

The apparatus may further comprise means for detecting a frequency error between the  
15 selected signal frequency and the received signal, and means for compensating for the frequency error. Preferably the means for detecting a frequency error is a frequency estimation routine. This can help to mitigate the effects of short-term frequency variations.

20 The means for detecting a frequency error is preferably adapted to estimate the signal frequency in dependence on the interval between successive peaks in the received signal corresponding to the peaks of successive pulses, and to compare the estimated signal frequency with the detection frequency. This can provide a relatively easy way to determine a frequency error between the detection and signal frequencies, and can be  
25 used, for example, for coarse tuning of the apparatus.

The means for detecting a frequency error may be adapted to compute an estimated signal frequency in dependence on the phase difference of consecutive samples, and to compare the estimated signal frequency with the detection frequency. By considering the phase  
30 differences of consecutive samples (for example by averaging the phase differences), a more precise estimation of the frequency error can be produced. This can be appropriate



for fine-tuning the apparatus, preferably in conjunction with the peak-detecting feature.

Preferably the means for compensating for the frequency error is adapted to create a matched filter prototype representing an expected signal shape as effected by the  
5 estimated frequency error. The matched filter prototype is preferably suitable for use with a comparative method of identifying certain signal waveforms in the received signal, as mentioned later. This can avoid the need to alter the detection frequency to match the selected signal frequency if the two differ, which can in turn simplify the sampling circuitry.

10 Preferably the processing means comprises means for determining the interval between the reception of the signal at a plurality of receiving elements, thereby allowing the angular position of the object to be determined. Preferably the receiving elements are as aforesaid and/or provided in the apparatus. The interval may represent a temporal or  
15 spatial difference, but preferably represents a data offset with respect to sampled data representing the signals as received at the receiving elements, the data offset being linked to the corresponding temporal difference by the relevant sampling rate.

In one embodiment, the means for determining the interval is operative to cross-correlate  
20 the signals received at at least one pair of receiving elements, and to determine the interval in dependence on the cross-correlation results. Preferably the cross-correlation method is a truncated cross-correlation process, whereby, for example, knowledge of the maximum signal delay between receiving elements is used to limit the range of the cross-correlation computation.

25 In a further embodiment (which may be combined with the previous embodiment), the means for determining the interval is operative to apply a matched filter to the signals received at the plurality of receiving elements, and to determine the time difference in dependence on the output of the matched filter. Preferably the matched filter is  
30 programmed with a matched filter prototype, the matched filter prototype preferably corresponding to at least a portion of the generated pulse waveform and preferably being

used to set the filter coefficients such that the closer that filter inputs match the filter prototype, the larger is the filter output.

5 The surprising discovery was made that, whilst theoretically not appropriate in the context of broadband signals (such as those typically emitted by active tracking devices), matched filters nevertheless achieved useful performance levels. This important feature is also provided independently.

10 Accordingly, in a related aspect of the invention there is provided apparatus for determining positional information relating to an object, comprising means for receiving, comprising a plurality of receiving elements; detection means for detecting signals received at the receiving elements and for generating output signals representative of the received signals; and processing means operable by application of a matched filter to detect the interval between signals received by a plurality of the receiving elements,  
15 whereby to determine an angular position of the object.

Preferably the receiving and detecting means are adapted to receive and detect a signal having a bandwidth greater than 5%, 10%, 20%, 30% or 40% of its frequency. Alternatively, the receiving and detecting means may be adapted to receive and detect a  
20 signal having a bandwidth less than 5% of its frequency. Preferably the matched filter is adapted to match a portion of a received pulse substantially smaller than the duration of the pulse, wherein the matched portion is substantially sinusoidal.

The apparatus may further comprise means for transmitting a probe signal towards the  
25 object, and wherein the means for receiving is adapted to receive a reflection of the probe signal from the object. The means for transmitting a probe signal is preferably adapted to transmit a different signal to the signal transmitted by the transmitter associated with the object. Preferably such a different signal is different in frequency, phase or other signal characteristic, such as the pulse waveform and associated frequency spectrum.

30

The apparatus may further comprise means for encoding the probe signal, whereby it can

be distinguished from the signal received from the object. Preferably the means for encoding is as aforesaid in respect of the signal transmitted by the transmitter associated with the object. ~

- 5 The apparatus preferably further comprises means for determining the positional information of an object irradiated by the probe signal. This can provide increased awareness of the environment surrounding the apparatus. Preferably the positional information so determined is in one of the forms which the positional information as aforesaid relating to the object incorporating a transmitter.

10

Preferably the detection of irradiated objects is operable simultaneously with the detection of radiating objects (that is, objects incorporating transmitters), but may alternatively be operable while the detection of radiating objects is disabled (at night, for example) and *vice versa*.

15

Preferably the apparatus further comprises means for comparing the positional information of the irradiated object to positional information relating to at least one known object, whereby anomalous objects can be identified. Such anomalous objects may be intruders, lacking the necessary transmitting tags, for example. Preferably 'known

20 objects' include any or all of objects detected by the apparatus, reference objects (such as structural features in the vicinity of the apparatus), either pre-programmed or deduced from prior measurements, and pre-programmed or measured detection zones which may or may not comprise further objects.

- 25 The apparatus preferably further comprises means for generating an alert signal in dependence on the result of the comparison. This can with minimal alteration provide an intrusion detection system to complement the object tracking system. Preferably the or each object includes the object incorporating a transmitter, and preferably the reference objects include a plurality of known objects and/or objects detected by the apparatus.

30

Accordingly, in a further aspect of the invention there is provided apparatus for searching

a detection volume for an object transmitting a signal, comprising an array comprising a plurality of receiving elements; detecting means for detecting signals arriving at the receiving elements and for generating output signals representative of the received signals; and processing means for determining the interval between signals received at  
5 a plurality of the receiving elements, whereby the existence of the object and its angular position can be determined.

Accordingly, a system for searching in unlocked radar can be implemented.

10 Preferably the apparatus further comprises a receiver clock, coupled with the detecting means, which is not used to generate the transmitted signal.

Preferably the detecting means is operable to detect signals within a given range gate, and the apparatus further comprises means for varying the range gate, whereby objects which  
15 are not synchronised with the apparatus can be detected.

Preferably the objects not being synchronised with the apparatus implies that the objects and the apparatus are asynchronous. The term 'asynchronous' as used herein preferably connotes a lack of common timing or synchronisation, particularly in respect of an  
20 interaction between objects (such as the transmission of a pulse from one to the other) where the elements associated with the interaction (a transmitter and a receiver, for example) are not driven by the same timing signal. Preferably 'asynchronous' implies an arbitrary phase difference between such timing signals, but may also imply an arbitrary difference in frequency or (correspondingly) a time period.

25

The term "range gate" as used herein in the context of a passive radar system (that is, a system where the receiver transmits probe pulses) preferably connotes a region of space defined by the distance that would be travelled by a pulse after a certain range of time had elapsed. For example, prior art passive radar systems, which take receiver readings at a  
30 predetermined delay after transmission of a pulse, have fixed range gates located at, say,  $dc/2$ ,  $(T+d)c/2$ ,  $(2T+d)c/2$ , and so on, where  $c$  is the speed of light,  $d$  is the delay between

the transmission of a pulse and the sampling of the received signal, and  $T$  is the delay between consecutive pulses (typically much larger than  $d$ ).

5 In the context of an asynchronous signal, where the receiver and transmitter are 'unlocked' (that is, not synchronised), the term "range gate" preferably refers to the combination of space and phase offset of transmitter which would be detected by the receiver at a given offset from a receiver clock. Thus, for a given offset  $d$  at a pulse repetition period  $P$  (that is, the time elapsing between transmitted pulses), transmitters at a distance of  $n(P+d)c$  having a phase relative to the receiver of  $d/P \times 360^\circ$  will  
10 theoretically fall within the range gate, where  $n$  is an integer and  $c$  is the speed of light. It is noted that essentially range gates are produced in the same fashion in both the synchronous and asynchronous gate, but that in the asynchronous case the range gate is a more abstract property.

15 It can be seen from the above that objects whose distance from the apparatus does not vary and objects whose phase relative to the receiver does not vary may both avoid detection if a fixed range gate is used. This important feature is also provided independently.

20 Preferably the apparatus further comprises means for calibrating the apparatus with a like apparatus (that is, preferably apparatus as aforesaid). This can improve the detection accuracy of the apparatus. This feature is also provided independently.

Accordingly, in a related aspect of the invention, there is provided apparatus for  
25 determining positional information relating to an object incorporating a transmitter, the apparatus comprising means for receiving a signal transmitted by the transmitter at the object; detecting means, coupled to the receiving means, for detecting signals received by the receiving means and generating output signals representative of the received signals; and means for calibrating the apparatus with a like apparatus.

30

The calibrating means may be adapted to receive a signal from a reference transmitter and

to output signals representative of the received reference signals. By providing signals representative of received reference signals, the apparatus can allow an external agent to deduce additional properties of signals received from objects, such as the relative timing of reception of the signal between two such apparatuses. This feature is advantageous because it can allow such relative timings to be determined without providing a common clock to the receiving units.

Preferably the calibrating means is adapted to output signals representative of a timing difference between the arrival time of a signal from the transmitter associated with the object and a signal from the reference transmitter. This can assist in carrying out the calibration.

Alternatively (or additionally), the calibration means may comprise means for triggering the detection means in dependence on a common clock signal. Such clock signals can be relatively easy to produce, and can be sent via connections already existing for the purpose of outputting signal data from the apparatus. Preferably the triggering means is adapted to initiate a scan of the received signal at a predetermined time offset from receipt of the common clock signal.

Preferably the apparatus incorporates the object itself. This can create a stand-alone system. The apparatus preferably further comprises means for determining the displacement of the object in dependence on a plurality of angular position measurements, and preferably comprises means for receiving angular position information from a remote position determining apparatus.

25

In a further aspect of the invention there is provided a system for locating an object incorporating a transmitter, comprising at least one apparatus as aforesaid, and means for determining the displacement of the object in dependence on a plurality of determined angular positions.

30

In a related aspect of the invention is provided a system for locating an object

incorporating a transmitter, comprising at least one apparatus including means for receiving signals from the object and outputting a signal representative of the received signals, means for determining the angular position of the object with respect to the or each apparatus, and processing means for determining the displacement of the object in  
5 dependence on the determined angular position information. Preferably the or each apparatus contain any or all compatible features of any other apparatus as aforesaid.

Preferably the system comprises a plurality of apparatuses, preferably less than 4, and preferably less than 3. The number of apparatuses is preferably at least one less than that  
10 required in an equivalent system based on arc-fitting distance methods.

Preferably the or each means for receiving are asynchronous, and preferably the system further comprises at least one object and associated transmitter.

15 In another aspect of the invention there is provided a vehicle including apparatus as aforesaid.

In a yet further aspect of the invention there is provided apparatus as aforesaid, operable to receive and detect signals transmitted via an attenuating medium. Preferably the  
20 apparatus is operable to receive and detect signals through walls or floors, within walls or floors, from under the ground or otherwise without having line-of-sight contact between the means for receiving and the object.

In another aspect of the invention, there is provided apparatus for transmitting a  
25 positioning signal, comprising means for generating a signal and means for transmitting the signal, wherein the signal generating means is adapted to generate a signal which is suitable for use with apparatus as aforesaid.

Preferably the signal generating means is adapted to generate a signal comprising a pulse  
30 train having a characteristic repetition frequency. The characteristic repetition frequency may be between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more

preferably between 10.5 MHz and 13.5 MHz. The pulses may have a characteristic frequency of between 0.5 GHz and 24 GHz, preferably between 2 GHz and 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz.

- 5 The signal generating means may be adapted to vary a characteristic property of the generated signal in dependence on a discrimination input. The characteristic property may be a pulse repetition frequency of the signal, or it may be a pulse position modulation characteristic. The apparatus may further comprise means for time-division multiplexing the signal.

10

The signal generating means is preferably adapted to generate a signal having a bandwidth greater than 5%, 10%, or 20% of its frequency.

- 15 In another aspect of the invention, there is provided a method of determining positional information relating to an object, the method comprising generating a signal; transmitting the signal from the object to a receiving apparatus; detecting the signal at the receiving apparatus; and producing an output from which the angular position of the object relative to the receiving apparatus can be determined.

- 20 The method may further comprise triggering the detection of the signal at the receiving apparatus, wherein the triggering is independent of the generation of the transmitted signal. Preferably the method further comprises triggering the detection of the signal in dependence on received triggering control signals. Preferably the detection of the signal is triggered at a frequency determined by the control signals. More preferably, the signal  
25 is generated with a characteristic repetition frequency and the detection of the signal is triggered at a triggering frequency different to the characteristic repetition frequency.

- The triggering frequency  $F_{trig}$  is preferably determined by  $(F_{cr} / n) + F_{diff}$ , where  $F_{cr}$  is the characteristic repetition frequency,  $n$  is an integer frequency divider ratio, and  $F_{diff}$  is a  
30 scan rate.  $n$  may be greater than 1, and is preferably greater than 2, 3, 4 or 5.  $F_{diff}$  is preferably non-zero, and preferably less than 5%, 2% or 1% of the magnitude of  $F_{cr}$ , and



more preferably less than 0.5% of the magnitude of  $F_{cr}$ .

5 The method preferably further comprises receiving the signal at a plurality of receiving elements, and detecting the relative timing of the signal as received at the plurality of locations, whereby the angular position of the object relative to the receiving apparatus can be determined. The method also preferably further comprises outputting a plurality of signals representative of signals received at the receiving apparatus. The method may further comprise receiving control signals at the receiving apparatus, and may further comprise processing the signals received from the object to determine positional  
10 information relating to the object.

The step of processing the received signals may comprise processing the signals in conjunction with a further angular position so as to determine the displacement of the object relative to the receiving apparatus. The method preferably further comprises  
15 computing timing differentials between a plurality of signals received at the receiving apparatus, and determining the angular position in dependence on the timing differentials between at least two of the plurality of signals.

The method may further comprise determining the pseudorange of the object. Preferably  
20 the step of determining the pseudorange comprises determining the amplitude of a received signal, and determining the pseudorange in dependence on the amplitude. The method may further comprise outputting a signal representative of the displacement of the object.

25 Preferably the step of generating the signal comprises generating a pulse train having a characteristic pulse frequency. The method may further comprise outputting at the receiving apparatus an output representative of the received pulse train, and the method may further comprise outputting a signal representative of the waveform of the received pulses.

30

The characteristic pulse frequency may be between 2 MHz and 20 MHz, preferably

between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz.

The method preferably further comprises sampling the received pulse train and producing an output having a characteristic sampling frequency related to the characteristic pulse  
5 frequency. The output sampling frequency may be lower than the characteristic pulse frequency. The output sampling frequency may be between 5 kHz and 100 kHz, preferably between 25 kHz and 90 kHz, and more preferably between 60 kHz and 85 kHz.

10 Preferably the method further comprises generating an input sampling clock signal at substantially a given multiple of the characteristic pulse frequency, generating an output sampling clock signal at the output sampling frequency, and sampling the signal on receipt of the input sampling clock signal and producing a sample output on receipt of  
15 the output sampling clock signal. The method preferably further comprises producing the sample output as an average of the input samples taken since the previous output sampling clock signal, and the method may further comprise advancing the phase of the input sampling clock by a predetermined amount after the sample output is produced.

The method preferably further comprises discriminating at the receiving unit between the  
20 signal transmitted at the object and at least one further signal.

In a related aspect of the invention, there is provided a method of determining positional information relating to an object, the method comprising receiving a plurality of signals transmitted by a plurality of transmitters including a transmitter associated with the  
25 object; discriminating between the signal transmitted at the object and any further received signals, in dependence on identification information; and determining the angular position of the object in dependence on the discriminated signal, whereby the positional information relating to the object can be determined.

30 The discriminating step preferably comprises discriminating in dependence on a characteristic property of the signal. The characteristic property may be a pulse repetition

frequency of the signal, and the characteristic property may be a pulse position modulation characteristic, and the signal may be time-division multiplexed.

5 Preferably the method further comprises detecting the signal in dependence on a detection clock, and setting the detection clock in dependence on a selected signal frequency, whereby signals having the selected signal frequency are preferentially detected. The method preferably further comprises detecting a frequency error between the selected signal frequency and the received signal, and compensating for the frequency error. The step of detecting a frequency error may comprise estimating the signal frequency in  
10 dependence on the interval between successive peaks in the received signal corresponding to the peaks of successive pulses, and comparing the estimated signal frequency with the detection frequency. The step of detecting a frequency error may also or alternatively comprise computing an estimated signal frequency in dependence on the phase difference of consecutive samples, and comparing the estimated signal frequency  
15 with the detection frequency. The step of compensating for the frequency error may comprise creating a matched filter prototype representing an expected signal shape as effected by the estimated frequency error.

20 The method preferably further comprises determining the interval between the reception of the signal at a plurality of locations, thereby allowing the angular position of the object to be determined. The step of determining the interval may comprise cross-correlating the signals received at at least one pair of locations, and determining the interval in dependence on the cross-correlation results. Alternatively, the step of determining the interval may comprise applying a matched filter to the signals received at the plurality of  
25 locations, and determining the time difference in dependence on the output of the matched filter.

In a related aspect of the invention, there is provided a method of determining positional information relating to an object, comprising receiving a signal at a plurality of locations;  
30 detecting signals received at the locations generating output signals representative of the received signals; and applying a matched filter to detect the interval between signals

received at a plurality of the locations, whereby to determine an angular position of the object. The received signal may have a bandwidth greater than 5%, 10%, or 20% of its frequency.

- 5 If the method further comprises transmitting a probe signal towards the object, and receiving a reflection of the probe signal from the object, the step of transmitting a probe signal may comprise transmitting a signal different to the signal transmitted at the object. The method may further comprise encoding the probe signal, whereby it can be distinguished from the signal received from the object.

10

The method may also further comprise determining the positional information of an object irradiated by the probe signal. Preferably the method then further comprises comparing the positional information of the irradiated object to positional information relating to at least one known object, whereby anomalous objects can be identified. The

- 15 method may further comprise generating an alert signal in dependence on the result of the comparison. The or each object preferably includes the object transmitting the signal received at the receiving apparatus.

In another aspect of the invention, there is provided a method of searching a detection  
20 volume for an object transmitting a signal, comprising receiving a signal at a plurality of locations; detecting signals arriving at the locations generating output signals representative of the received signals; and determining the interval between signals received at a plurality of the locations, whereby the existence of the object and its angular position can be determined.

25

The method may further comprise detecting signals within a given range gate, and varying the range gate, whereby objects which are not synchronised with the receiving apparatus can be detected.

- 30 The method preferably further comprises calibrating the receiving apparatus with a like apparatus.

In a related aspect of the invention, there is provided a method of determining positional information relating to an object, the method comprising detecting at a receiving apparatus signals transmitted at the object; generating output signals representative of the received signals; and calibrating the receiving apparatus with a like apparatus. The method preferably further comprises receiving a signal from a reference transmitter and outputting signals representative of the received reference signals. The method may further comprise outputting signals representative of a timing difference between the arrival time of a signal transmitted at the object and a signal transmitted at the reference transmitter.

10

The step of calibrating the receiving apparatus may comprise triggering the detection means in dependence on a common clock signal, in which case the method may further comprise initiating a scan of the received signal at a predetermined time offset from receipt of the common clock signal.

15

Preferably the method further comprises determining the displacement of the object in dependence on a plurality of angular position measurements. The method may further comprise receiving and detecting signals transmitted via an attenuating medium.

20 In another aspect of the invention there is provided a method of transmitting a positioning signal, comprising generating a signal and transmitting the signal, wherein the signal is suitable for use with apparatus as aforesaid.

25 In a yet further aspect of the invention there is provided a method of transmitting a positioning signal, comprising generating a signal and transmitting the signal, wherein the signal is suitable for use with a method as aforesaid.

The signal may comprise a pulse train having a characteristic repetition frequency, as mentioned above.

30

The method may further comprise varying a characteristic property of the generated

signal in dependence on a discrimination input. The characteristic property may be as aforesaid. The method may further comprise time-division multiplexing the signal. The signal bandwidth may be as aforesaid.

- 5 In another aspect of the invention, there is provided a signal suitable for use with apparatus as aforesaid.

In a related aspect of the invention, there is provided a signal comprising a pulse train having a characteristic pulse frequency, wherein the characteristic pulse frequency is  
10 between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz, and the pulses have a characteristic frequency of between 0.5 GHz and 24 GHz, preferably between 2 GHz and 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz.

- 15 As before, the signal may be encoded using time-division multiplexing, and may be encoded using pulse-position modulation.

In another aspect of the invention there is provided a computer program product adapted to perform a method as aforesaid. The term "computer program product" as used herein  
20 preferably connotes data in a computer-readable form which, when executed by a processor (such as a microprocessor, DSP, custom integrated circuit, or a microcontroller) causes the relevant method to be performed. The term preferably comprises interpreted languages (such as JAVA), compiled languages (such as compiled C++) and machine code.

25

In a further aspect of the invention there is provided a computer readable medium tangibly embodying a computer program product as aforesaid.

In a yet further aspect of the invention there is provided a signal tangibly embodying a  
30 computer program product as aforesaid.

The invention extends to methods and/or apparatus substantially as herein described with reference to the accompanying drawings.

Any feature in one aspect of the invention may be applied to other aspects of the invention, in any appropriate combination. In particular, method aspects may be applied to apparatus aspects, and vice versa.

Preferred features of the present invention will now be described, purely by way of example, with reference to the accompanying drawings, in which:-

10

Figure 1 is an overview of a first embodiment of a positioning system;

Figure 2 is a schematic of a positioning system in which more than two receiving units are used;

15 Figure 3 is a schematic showing the interconnection of the components shown in Figure 2;

Figure 4 is a schematic of a second embodiment of a positioning system;

Figure 5 is a schematic of a third embodiment of a positioning system;

Figure 6 is an overview of an active object in the positioning system;

Figure 7 is a schematic showing the object of Figure 6 in more detail;

20 Figure 8 is an overview of a receiving unit in the positioning system;

Figure 9 is a schematic showing the receiving unit of Figure 8 in more detail;

Figure 10 is a schematic of one of the four receiver channels in the receiving unit of Figure 9;

Figure 11 is a schematic of the control unit in the positioning system;

25 Figure 12 is a timing diagram showing example timings for a receiving unit in the positioning system;

Figure 13 is a timing diagram showing alternative example timings for a receiving unit in the positioning system;

30 Figure 14 is a timing diagram illustrating three different signal detection scenarios for receivers equidistant from a transmitter;

Figure 15 is a timing diagram showing the sampling activity of the Rx1 receiving

unit of Figure 14 over the course of one detector cycle;

Figure 16 is a plan view of a sensor array in a receiving unit;

Figure 17 shows a variant of the system of Figure 16 including a transmitting component;

5        Figure 18 is a side-view of the sensor array in operation; and

Figure 19 is a schematic of a detection algorithm for use with a receiving unit in the positioning system.

10        A system for determining the position of an active object (that is, an object incorporating a transmitter, or 'tag'), is described below. The configuration of the system is first described, with descriptions being given of a receiving unit (a sensor used to detect tag signals), a transmitting object (the tag itself), and a control unit.

15        Later on, the principles underlying the design of the positioning system are described, including information on the relative timings of the system components, the calibration of the receiving units, and the channelisation of the transmitted signals.

20        Later still, there is a more detailed explanation relating to the processing of the signals in the control unit, the construction of the differential timing sensor array, and a description of possible applications of the positioning system. Lastly, details are provided regarding an implementation of a suitable detection algorithm.

First, the positioning system is described in overview.

## 25        **The configuration of the positioning system**

Figure 1 is an overview of a first embodiment of the positioning system. With reference to Figure 1, the positioning system 100 comprises an object to be located 102, a transmitter 104 associated with or contained in the object 102 and outputting a signal 106, a pair of receiving apparatuses 200, 202, and a control unit 300. The receiving  
30        apparatuses 200, 202 are connected to the control unit 300 by data links 302, 304.



The receiving units 200, 202 pass to the control unit 300 via the links 302, 304 data representative of the signal 106 received at the receiving units. The control unit then processes the data received from the receiving units so as to determine the angular position of the object with respect to each receiving unit. The angular position is measured in terms of an azimuth angle and an elevation angle. Finally, the control unit, knowing the location of the receiving units, is able to triangulate the angular positions so as to compute the three-dimensional position of the object 102. The use to which the three-dimensional position is then put depends on the particular application of the system, as is described further later.

In order to compute the displacement (for example, the three-dimensional position) of an object using angular position information, only two angular position measurements are required (such as two pairs of azimuth and elevation angles), and therefore only two receiving units are required (as shown in Figure 1). Furthermore, if certain refinements are made (see below) only one receiving unit may be required. However, improvements in the accuracy and range of the system can be achieved if two or more receiving units are used.

Figure 2 is a schematic of a positioning system in which more than two receiving units are used. In Figure 2, four receiving units 200, 202, 204, 206 are located within a detection area 108 approximately in the shape of a square of side 10 metres. Two active objects 102, 110 are shown in the detection area. The receiving units 200, 202, 204, 206 have an effective range of 10 metres. Dashed lines are shown between the objects and receiving units to indicate the receiving units within whose detection range each object is located.

Figure 3 is a schematic showing the interconnection of the components shown in Figure 2. With reference to Figure 3, a first object 102 and associated transmitter 104, a second object 110 and associated transmitter 112, four receiving units 200, 202, 204, 206 and a control unit 300, connected via data links 302, 304, 306, 308 to the four receiving units 200, 202, 204, 206 respectively, are shown.

It can be seen that due to the geometrical arrangement of the receiving units and the objects, the first object 102 is detected by all four receiving units 200, 202, 204, 206, and that the second object 110 is detecting by only three receiving units 200, 202, 204. In both cases, at least one angular position measurement is redundant, and can be used to  
5 improve the accuracy of the overall position measurement.

Other numbers and configurations of receiving units are of course possible.

With reference again to Figure 1, the data links 302, 304 connecting the receiving units  
10 200, 202 to the control unit 300 are electrical wires. In a variant of the first embodiment, the data links 302, 304 are constituted by radio links between the receiving units 200, 202 and the control unit 300; other combinations are of course possible.

Figure 4 is a schematic of a second embodiment of the positioning system, in which the  
15 receiving units and the control unit are interconnected in an alternative configuration. In Figure 4, a first receiving unit 200 serves as a communications hub between the further receiving units 202, 204, 206 and the control unit 300. The data links 310, 312, 314 between the first 200 and further receiving units 202, 204, 206 and the data link 302 between the first receiving unit 200 and the control unit 300 may as before be electrical  
20 wires, radio links or otherwise.

Figure 5 is a schematic of a third embodiment of the positioning system. In Figure 5, a control unit 300 is located within a first receiving unit 200, which receiving unit 200 is connected to the further receiving units 202, 204, 206 via data links 310, 312, 314 as  
25 before.

The operation of the control unit and receiving units will be described further later. First, the active object 102 will be described in more detail, with reference to Figures 6 and 7.

### 30 **Description of the active object**

Figure 6 is an overview of an active object in the positioning system. In Figure 6, the

object 102 comprises a transmitter unit 104 coupled to a signal generation unit 120, which units together produce the signal 106.

Figure 7 is a schematic showing the object of Figure 6 in more detail. With reference to Figure 7, the transmitter unit (or 'tag') 104 comprises a single antenna 118, and the signal generation unit 120 comprises a crystal oscillator 122, phase-locked loop (PLL) circuitry 124, a pulse repetition frequency (PRF) selector and power interface 126, programmable divider 128, pulse generator 130, filter 132 and pulse repetition frequency (PRF) output unit 134. The programmable divider 128 is driven by the crystal oscillator 122 (via the PLL circuitry 124) in accordance with a PRF frequency provided by the PLL selector and power interface 126, and in turn drives the pulse generator 130, the output of which is filtered by the filter 132 before being transmitted by the antenna. A PRF output unit 134 provides the object with a means for reporting its current PRF setting. In the preferred embodiments, the PRF output unit 134 is not used, and may be omitted.

15

In the preferred embodiments, the object 102 is attached to an item of interest, such as a stock item or a member of staff in a company, for example. Accordingly, the object 102 may in use be affixed to such an item by any suitable means, such as an adhesive or a mechanical attachment. Alternatively, the object 102 may include such an item. The object 102 may also include additional circuitry for other (possibly related) purposes.

20

The operation of the transmitter unit 104 and signal generation unit 120 (and in particular the use of PRFs) is described later. First, the receiving unit 200 will be described in more detail with reference to Figures 8 and 9.

25

#### **Description of the receiving unit**

Figure 8 is an overview of a receiving unit in the positioning system. In Figure 8, the receiving unit 200 comprises a receiver component 210 for receiving the transmitted signal 106, a detector component 230, coupled to the receiver component, for detecting the signal 106, and a receiver-side processing unit 260 for processing the signal and output the processing results to the control unit 300 (not shown) via the data link 302.

30

Figure 9 is a schematic showing the receiving unit of Figure 8 in more detail. In Figure 9, the receiver component 210 in the receiving unit 200 comprises four antennas 212, 214, 216, 218 for receiving the transmitted signal 106 at carefully chosen spaced apart locations, and the detector component 230 in turn comprises a transceiver unit 232  
5 coupled to the antennas 212, 214, 216, 218, and a timebase unit 234 coupled to the transceiver unit 232 and to the receiver-side processing unit 260, which is in turn connected to the data link 302.

As is described in more detail later, differential timing techniques are used to compute  
10 the angular position of transmitting objects whose signals are received at the receiving unit, which requires the analysis of a plurality of received signals. Accordingly, the antennas 212, 214, 216, 218 are provided, each having its own separate channel in the detector component 230 such that separate outputs are provided by the detector component 230 for each antenna.

15

In variants of the preferred embodiments, different systems are used to detect the angular position of objects. In one such variant, a directional antenna is provided which is swept continuously across the detection space.

20 Figure 10 is a schematic of one of the four receiver channels. With reference to Figure 10, the channel 240 in the receiver component 210, transceiver unit 232 and timebase unit 234 comprises an antenna 212 which is connected in series with a radio-frequency (RF) band-pass filter (BPF) 242, a low-noise amplifier (LNA) 244, a further radio-frequency band-pass filter 246, a sampler 248, an audio buffer amplifier 250, an audio  
25 band-pass filter (BPF) 252, an audio amplifier 254, a further audio band-pass filter 256, a sample-and-hold stage 258 and the receiver-side processing unit 260. A timebase control unit 262, driven by a receive pulse repetition frequency (PRF) clock 264 and a receive system clock 266, is connected to the sampler 248, sample-and-hold stage 258 and the receiver-side processing unit 260.

30

In a variant of the preferred embodiments, a single clock source replaces the PRF clock

264 and receive system clock 266, with clock dividing circuitry being used to obtain the necessary clock frequency at each relevant stage.

5 In operation, radio frequency signals arriving at the antenna 212 are converted into audio-frequency digital signals and output to the control unit 300 by the data link 302. A low-bandwidth control data link (not shown) is provided in addition to the main data link 302, in order to allow the control unit 300 to interrogate the system status of the receiving unit 200 and to carry out system maintenance tasks as required.

10 The receiver-side processing unit 260 contains an analogue-to-digital convertor (ADC) connected to the sample-and-hold stage 258 of each channel 240, and also connected to processing circuitry (such as a high-speed microcontroller and associated memory). The processing circuitry takes the output from each of the four channels, and undertakes the relevant processing in relation to the signals received from the antennas 212, 214, 216,  
15 218 – namely to determine azimuth and elevation angles relating to detected signal sources. The processing unit 260 sends to the control unit 300 via the data link 302 a digital representation of the detected angles, for further processing in conjunction with similar data sent from the other receivers 202, 204, 206.

20 In a variant of the preferred embodiments, the receiver-side processing unit 260 is omitted, and instead analogue signals from the sample-and-hold stage 258 are sent via the link 302 to an analogue-to-digital convertor (ADC) provided at the control unit 300. The ADC may be driven by the timing signal from the timebase control unit 262, or by a timing signal generated at the control unit 300. In this variant, the control unit 300  
25 undertakes all of the azimuth and elevation angle processing for all of the receivers.

In a further variant, the receiver-side processing unit 260 comprises essentially just an analogue-to-digital convertor (ADC), so that the signals representative of the signal received at each antenna 212, 214, 216, 218 are sent to the control unit in a digitised  
30 form.

Before various aspects of the positioning system are explained further, the control unit 300 will be described in more detail, with reference to Figure 11.

#### **Description of the control unit**

5 Figure 11 is a schematic of the control unit in the positioning system. In Figure 11, an input/output interface 320 is connected to the two receiving units (not shown) via the data links 302, 304. The input/output interface 320 is coupled to a computer 330.

10 In the preferred embodiments, the computer 330 includes a central processor unit (CPU) and a storage medium (a combination of a hard disk and/or memory) and a connection to the input/output interface 320. The relevant processing is carried out by the CPU using custom processing software, and the results of the processing are made available either visually or in computer-readable form.

15 In a variant of the preferred embodiment, suitable for a low-cost implementation, the computer 330 is a standard PC, and the input/output interface 320 is a 2x4 channel analogue data acquisition PCI card, which may or may not have an output functionality, for example depending on the type of data link required to the receiving units (see above). The processing may in some variants be carried out principally by mathematical  
20 programming software (such as interpreted programs, such as those running on the Matlab program, or compiled stand-alone programs, for example) running on the PC. Other combinations are of course possible. In configurations having different numbers of receiving units, data acquisition cards having a greater number of inputs and/or greater numbers of data acquisition cards may be used. In variants of the preferred embodiments,  
25 the receiving units may multiplex and/or route the relevant information to the PC so as to reduce the number of physical inputs into the control unit 300. In further variants, the outputs of the receiving units are multiplexed in the analogue domain and demultiplexed inside the control unit 300.

30 In a further variant of the preferred embodiment, the computer 330 comprises dedicated hardware, such as an ASIC chip, or a microcontroller chip and associated circuitry for

processing the information received from the receiver unit or units. For example the computer 330 may be used to determine the object/objects' displacement and/or to carry out further application specific tasks, and in the receiver-side processing unit 260 of the receiver unit 200 the angular position is determined.

5

In the preferred embodiments, the computer 330 is connected to a further computer and/or network to allow the results of the processing to be shared in an appropriate context.

- 10 Communication interfaces are provided in the receiving units, additional to the interfaces transmitting the outputs of the four receiver and detector channels, and also in the control unit, so as to allow control signals (such as clock signals – see below) to be passed between the control unit and receiving units. The communication interfaces are simple RS232 serial links, but in variants of the preferred embodiments protocols and systems  
15 such as Ethernet, Bluetooth (and other wireless protocols) and parallel connections are used.

There now follows a discussion of the basic principles underlying the positioning system design.

20

### **Principles underlying the design of the positioning system**

- The system is designed to have a maximum detection range of the active object (or 'tag') of 10m. Greater detection ranges (such as 30m and above) are possible, but at the expense of greater cost and complication due to the higher powers and greater complexity  
25 that can be required.

- For efficiency and performance reasons, the tags signal their position using pulses whose relative bandwidth (that is, the size of the pulse bandwidth compared to the pulse frequency) is greater than, say, 10% and may be even greater (such as 20%, 30%, 40%  
30 or even higher). The propagation characteristics of such pulses can be relatively favourable in indoor applications, for example, where so-called narrowband pulses can

propagate poorly and/or highly unpredictably. The pulses are emitted at a characteristic pulse repetition frequency (PRF). An equivalent pulse repetition period (PRP), which is the inverse of the PRF, defines the delay between successive pulses.

- 5 Nevertheless, in variants of the preferred embodiment, where a relatively low spatial resolution is acceptable, the pulses can be limited to these 'narrowband' frequencies. In these cases the relative bandwidth of the pulses may be substantially less than 10% (and even less than 1%), for example.
- 10 The differential timing detection system does not need to consider the time delay between the transmission of pulses at the transmitter and reception of the pulses at the receiver (which delay would give an indication of the distance between the transmitter and the receiver), but instead considers the relative timings of reception of individual pulses
- 15 (which relative timings can allow the angular position of the transmitter to be determined). Using the different timing detectors to compute the angular position of the object with respect to each sensor and then triangulating the measured angular positions can determine the displacement of the object to an accuracy of approximately 60-80 centimetres in a typical system (although this can vary according to factors such as the number of and proximity of the receiving units, the magnitude of frequency errors, and
- 20 the signal-to-noise ratio of the received signals), which is adequate resolution for many tracking tasks.

However, the accuracy of the system can be improved by synchronising the receiving units in the system, so as to measure the differential timing of received pulses between

25 the individual receiving units, in addition to measuring the differential timing of received pulses between the multiple receiver channels in each receiver unit. In order to synchronise the receiving units (see below), a constraint is placed on the transmitter PRF so as to avoid ambiguities in respect of which pulse was received by which receiving unit.

30

In order to avoid such ambiguities, the Pulse Repetition Period (PRP), being the inverse



of the Pulse Repetition Frequency (PRF), must exceed twice the maximum difference in arrival times of a pulse, where the maximum difference in arrival times of a pulse is the time taken for a pulse to travel the maximum detection range (that is,  $m/c$ , where  $m$  is the maximum detection range and  $c$  is the speed of light).

5

For a system having a 10 metre maximum detection range, the maximum difference between the time of arrival of a signal between two receivers is approximately 33 nanoseconds (ns), and the Pulse Repetition Period (PRP) of the transmitter therefore has to exceed 66 ns in order to avoid ambiguities in the resolved range.

10

For a system having a greater maximum detection range, such as 30 metres or greater, the time of arrival of a signal between two receivers will be greater (approximately 100 nanoseconds in the case of a 30 metre range), and a consequently higher PRP will be required (for example, at least 200 ns in the case of the 30 metre range). By making  
15 similar changes to the calculations dependent on the PRP, the principles discussed herein can apply equally to such larger maximum ranges.

### **The relative timings of the system components**

20

Figure 12 is a timing diagram showing example timings for a receiving unit in the positioning system. In Figure 12, transmitted pulses 400, corresponding received pulses 410, and receiver PRF clock pulses 420 are plotted against time. The transmitted pulses 402, 404, 406 are separated by a PRP 430. The received pulses 412, 414, 416 are received at a time offset 432 with respect to the transmitted pulses 402, 404, 406. The potential range ambiguity 434 is also shown. The lower plot shows the output of the receiver PRF  
25 clock used to start a scan of the receiver. Due to the asynchronous relationship between the transmitter PRF clock and the receiver PRF clock, the receiver PRF clock has an arbitrary time offset 436 with respect to the transmitter clock. The time offset 438 elapses between the PRF clock pulses 422, 424, 426 being transmitted, and the same pulses 412, 414, 416 being received.

30

In Figure 12, the receiver starts sweeping before the nearest pulse (in terms of time) is

received, and it can be seen that the range delay (that is, the delay between transmission and reception of a pulse, proportional to the range between transmitter and receiver) 432 is equal to the sum of the measured time 438 and the time offset 436 (that is, Actual Range = Measured + Offset).

5

Figure 13 is a timing diagram showing alternative example timings for a receiving unit in the positioning system. Figure 13 shows the timings for a receiving unit having the same configuration as Figure 12, except that the receiver starts sweeping after the nearest pulse (in terms of time) is received. In Figure 13, transmitted pulses 400, corresponding received pulses 410, and receiver PRF clock pulses 420 are again plotted against time. In this case, it can be seen that the range delay (that is, the delay between transmission and reception of a pulse, proportional to the range between transmitter and receiver) 432 is equal to the sum of the measured time 438 and the time offset 436, but less the PRP (that is, Actual Range = Measured + Offset - PRP).

15

When the receiving units are synchronised, the control unit uses information received from several receiving units to determine for each receiving unit the delay between the transmission of a pulse and the reception of the pulse at the unit in question (that is, the time offset 438, or 'Offset' in the above formulae). Using this information, the two possible range delays 432 ('Actual Range') are then computed in accordance with the above formulae. Because of the constraints placed on the PRP (see above), one of the computed range delays 432 will exceed the maximum possible delay (33 ns, in the present example) and can be eliminated. The (now unambiguous) computed range delay 432 is multiplied by the speed of light to obtain a measure of the distance between the relevant receiving unit and the transmitter. By using the range measurements to refine the position estimates produced using the angular position information, the resolution of the system is increased to an accuracy of approximately 1-2 centimetres.

Further information can be deduced, to allow the accuracy to be improved, by measuring the delay between successive received signals. Accordingly, a sampling duration is chosen such that at least two received pulses fall within the sampled data.

30

For good margin a maximum Pulse Repetition Frequency (PRF) of 12 MHz giving a PRP of 83 ns is used in the preferred embodiments. Without knowledge of the relative timing of the transmitter (which is the case if the receiving units are not synchronised), the receiver has to sweep the whole transmitter PRF period twice (to cover at least two  
5 pulses, as mentioned above) and a small overlap to make sure no parts of the transmit pulses are lost. Hence a time sweep of 230 ns is chosen. To allow the detection components a recovery time after each time sweep, the detection component operates at a frequency of 3 MHz (that is, equivalent to a PRP of 333 ns). However, in variants of the preferred embodiments, the detection components run at the same frequency as the  
10 signal PRF, or at different multiples of the signal PRF, for example at a half, a third, or a fifth (and so on) of the signal PRF.

Figure 14 is a timing diagram illustrating three different signal detection scenarios for receivers equidistant from a transmitter. In Figure 14, transmitted pulses 400,  
15 corresponding pulses 410 received simultaneously at three receiving units Rx1, Rx2, Rx3 equidistant from the transmitter, and representations 450, 452, 454 of the sampling state of the three different receiving units are plotted against time. The transmitted pulses 402, 404, 406 are separated by a PRP (not shown) which, as noted above, is equal to 83 ns. The received pulses 412, 414, 416 are received at a time offset 432 with respect to the  
20 transmitted pulses 402, 404, 406. The receiving unit plots 450, 452, 454 show the Start of Scan (SoS) positions (including the first two Start of Scan positions 460, 462, 464, 466, 468, 470 for each receiver), and represent the duration of each scan as an upward ramp. Some of the points at which the received pulses can be detected (because they occur during sample scans) are indicated with markers 480, 482, 484, 486. The duration  
25 of a single scan 490 and the duration of a single sampling cycle 492 are also indicated on the figure.

It can be seen that in each of the three signal detection scenarios, at least two pulses are detected during the sample scan. Each 230 ns sample sweep is effectively scanning a  
30 distance of 69 metres (derived from  $230 \text{ ns} \times c$ , the speed of light) in incremental steps, each such step being referred to as a range bin.

Figure 15 is a timing diagram showing the sampling activity of the Rx1 receiving unit of Figure 14 over the course of one detector cycle (that is, over the course of producing one set of data for outputting to the control unit). In Figure 15, a representation 450 of the sampler state of the receiving unit is shown, plotting the scan range 500 (derived from the time offset from the Start of Scan, SoS) against time. A given height 502 in the plot corresponds to a certain range bin. As before, the scan sweeps are shown with Start of Scan (SoS) positions 460, 462 and an end of scan position 504 (and so on) defining a ramp. The duration of the sample sweep 508 (in this case 230 ns, as mentioned above) and the sample sweep period 510 (in this case 333 ns, as mentioned above) are shown. A dwell time 512 and a total sweep time 514 are also shown.

As mentioned above, the range in space over which the sensor scans and samples is divided into range bins. The receiving unit scans this range by adding a small time offset to each sample, effectively offsetting the receiver unit's PRF clock, and the rate at which the range is scanned is determined by this offset. The update rate for the sensor is 40 Hz.

The timebase control 262, which controls the timing of the sampler 248 and sample and hold 258 components shown in Figure 10 (as well as providing a sample pulse for the receiver-side processing unit 260), performs the necessary clock adjustments mentioned above.

The bandwidth of the detector output is 40 kHz (in the same order of magnitude as audio frequencies). The sample output bandwidth is determined by the scan rate, the receiver and transmitter clock difference (which can be kept to within 1 part per million, or ppm) and any Doppler frequency shift caused by movement of the transmitter relative to the receiving unit.

Assuming a maximum relative speed of 10 metres per second between the transmitter and receiver (which a safe assumption for the majority of applications), a Doppler frequency shift of only 400Hz would be produced, which is negligible. The sample output

bandwidth is also determines the time spent in each range bin – the dwell time, or number of samples taken at a single range bin. The preferred embodiments employ a dwell time of 12  $\mu$ s, corresponding to 36 samples per range bin, but other dwell times may be used.

- 5 The remaining factor influencing the choice of sample output bandwidth, the clock difference between the receiver and transmitter, will now be discussed.

The frequency accuracy of the tag (that is, the closeness of the match between the receiver and transmitter clocks) determines, amongst other things, the amount of  
10 processing which is required for a given bandwidth signal in order to give a good signal-to-noise ratio (SNR). Higher SNRs can provide greater accuracy and increase the detection range of the system; lower SNRs can cause the system progressively to fail.

After investigation, it was found that a frequency difference between the receiver and  
15 transmitter of less than 1 part per million (ppm) was possible to achieve and gave a sufficiently high SNR to achieve a 10 metre detection range. At the nominal 12 MHz pulse repetition frequency (PRF), the 1 ppm frequency difference creates a 6 kHz frequency error at 6 GHz. The effect of the frequency error is that during the time the receiver sampler sits at a range 'bin' (that is, throughout the 12  $\mu$ s dwell time during  
20 which 36 subsamples are taken), the received (radio frequency range) signal phase changes, effectively modulating the sampler output.

For example, during the 'integration time' of 12  $\mu$ s as the signal is sampled and held, the phase of the 6 GHz input signal has changed approximately by 26°. The principal effect  
25 of the phase change is to reduce the amplitude of the detected signal, in the process worsening the SNR of the system, but the amplitude reduction caused by the 26° phase change over the 12  $\mu$ s dwell time was found to be acceptable. Significantly larger phase changes, for example caused by increasing the dwell time, can deteriorate the SNR to the extent that the signal is effectively no longer detected.

30

Another consequence of the frequency drift is range error. This range error is apparent

when the transmitting tag is close to one sensor and far from another. This range error is caused by the sequential time sampling of the receivers: the time taken to measure a time difference of 33 ns (corresponding to the 10 metre range) is 3.5 milliseconds (ms). During this time, the tag will have drifted 3.5 ns (1 ppm of 3.5 ms) relative to the short-range receiver, giving a range error of 1 metre. This range error is acceptable for some purposes, but not for others. However, this error can be compensated for by measuring the transmitter and receiver PRF difference accurately from the information present in the receiver data. This can be measured to better than 1 ns in one sweep of 24 ms, giving a frequency error of 0.02 ppm and a range error of 1.4 cm.

10

Calibration of the receiving units is used significantly to improve the expected range error, as is now described below.

#### **The calibration of the receiving units**

- 15 The range error mentioned above can be compensated for by determining the transmitter and receiver clock phase differences from the information present in the data output by the plurality of receiving units in the system, and then correcting for the phase difference thus found.
- 20 In the preferred embodiment, a common clock is provided to all of the receiving units, to synchronise the detection. With reference to Figure 14, the effect of the common clock signal is to align the Start of Scans (SoSs) 460, 464, 468 and 462, 466, 470, and so on, so that the sample sweeps of different receiving units can be directly compared.
- 25 In a variant of the preferred embodiment, at least one reference tag is provided, with the receiving units being asynchronous (that is, not linked by a common clock signal). The reference tag(s) are transmitters of the type used in the objects to be tracked, and which are fixed to known reference locations. The reference tag(s) are channelised (that is, they transmit with a characteristic PRF, for example) in the same way as the active object
- 30 being tracked. Each receiving unit not only tunes in to the signal of the object of interest, but also tunes in to the reference tag(s) as well, and passes all of the information to the

control unit.

The selection of PRF so as to switch between the reference tag(s) and the object of interest is undertaken under the control of the control unit, although in variants of the preferred embodiments the receiving unit is able to multiplex and output substantially simultaneously the received signals relating to the reference tag(s) and object of interest. In a yet further variant, the PRFs relating to the reference tag(s) and the object of interest are detected simultaneously, for example using multiple detection paths and/or detection clocks, under the control of the receiving unit.

The clock signal is provided from a detection clock located in the control unit and is set at a predetermined multiple of the desired PRF frequency. The timebase circuits in the receiving units then drive an internal clock using the external detection clock, dividing down as appropriate and occasionally ignoring pulses so as to advance the phase of their internal clock (to move to another range bin, as described above).

Since the positions of the reference tag(s) and the receiving units are known, so too is the interval between the arrival of the reference tag pulse at the different receiving units. Using the data received from the receiving units, the control unit can then compare the measured intervals to the theoretical intervals and so determine the relative phases/clock offsets of the detection clocks in each receiving unit.

By using either calibration method to synchronise the receiving units, the control unit can then produce a more accurate estimate of the clock offset between each receiving unit and the transmitter and also obtain a secondary position estimate by analysing the interval between reception of the object transmitter signal at each receiving unit. In the preferred embodiments, the clock offset between each receiving unit and the object transmitter can be measured with an accuracy of 1 ns, giving a frequency error of approximately 0.02 ppm and a resulting range error of approximately 1.4 cm.

A positioning accuracy in the order of 1–2 cm, which is a considerable improvement over

the uncalibrated accuracy of approximately 70 cm, is adequate for the vast majority of applications.

The channelisation of the transmitted signals will now be described in more detail.

5

#### **The channelisation of the transmitted signals**

In the preferred embodiments, tag identification (that is, the identification of signals transmitted by a transmitter associated with a particular object) is achieved by having each object transmit a signal at a different pulse repetition frequency (PRF). The receiving units are then 'tuned in' to the relevant PRF by the timebase circuitry under the control of the control unit, optionally via a common clock signal (see above).

15 The desired tag signal, corresponding to the selected object, whose PRF matches that of the receiving unit detection clock (albeit at a different integer frequency multiple), will be received normally, as described above. Other tag signals, having different, incompatible PRFs, will have a different base band frequency to the matched signals, and these signals will be rejected by the frequency filtering in the receiver.

20 With a receiver PRF of 3 MHz (chosen to be a quarter of the 12 MHz transmitter PRF, as explained above) giving spectral line spacing at 3 MHz, up to 12 different tags can be discriminated, with each tag PRF having a frequency separation of 250 kHz. Given a pulse frequency of approximately 12 MHz, the 250 kHz frequency separation is designed to result in a rejection of at least 48dB for a 3rd order lowpass filter with a 40 kHz cut-off frequency. As mentioned above, the dynamic range required by the receiver for a maximum-range tag (at 10 metres) with a near interfering tag (at 0.5 metres, say) is 26 dB. Thus a closer tag spacing can be chosen, but at the expense of signal-to-noise ratio.

30 In the preferred embodiments, with reference to Figure 10, a fixed receiver PRF of 3 MHz is generated by the timebase control 262, irrespective of the transmitter PRF. The PRF frequencies between 9 MHz and 12 MHz are shifted to baseband frequencies between 0 and 3 MHz due to sampling aliasing effects. As noted, the signal is then



downsampled into the audio frequency range, and the signal of interest is filtered out by the audio-frequency band pass filters 252, 256 of the receiving unit, which filters are tuned to the frequency band of interest.

- 5 The detected signal lies within the bandpass-filtered frequency range, but because the receiving unit and transmitter are asynchronous (leading to the frequency errors mentioned above), its exact frequency may vary within the filtered frequency range, causing potentially reduced signal-to-noise ratios (SNRs). In order to improve to SNR (and hence the performance overall), the processing unit undertakes certain preprocessing
- 10 of the signal, including obtaining an estimation of the frequency error, and then tailoring the processing routines to the expected signal frequency. Further details of the preprocessing are given later.

- In a variant of the preferred embodiment, the band pass filters 252, 256 are instead fixed,
- 15 and instead the receiver PRF is varied between 0 and 3 MHz to match the transmitter PRF. Due to the high frequencies involved, this can be more difficult to achieve but by matching to the input frequency can reduce the bandwidth required in later stages.

The processing applied to the signals will now be described.

20

### **The processing of signals**

- As mentioned above, the receiver-side processing unit 260 for each receiving unit 200 (or, in variants mentioned above, the control unit 300 itself) takes as its input a plurality of sampled, filtered and time-stretched signals corresponding to each receiver channel
- 25 (one per antenna in the receiving unit sensor array). Each of the signals input into the receiver-side processing unit 260 contains a narrow band of frequencies corresponding to the desired tag signal. Information is then passed to the control unit 300 by each receiving unit 200, 202 (and so on) to allow the processing to be completed.

- 30 A detection algorithm is applied by the receiver-side processing units 260 and control unit 300 (as appropriate) to the radar data, and an output is produced which specifies the

location of the object being tracked.

For computation efficiency, the radar data is divided into in-phase (I) and quadrature (Q) components, and deinterleaved into complex number pairs. This can reduce the range  
5 sweep time.

Another part of the detection algorithm uses a matched filter to enhance the detection of the pulse waveforms. The output  $y[n]$  of the matched filter for a given input  $x[n]$  is given by:

10 
$$y[n] = h[n] * x[n] = \sum_{k=0}^{n-1} h[n-k]x[k]$$

where  $h[n]$  is the impulse response of the filter.

By setting the impulse response  $h[n]$  as the reverse of the expected signal waveform (that is, the shape of the individual pulses transmitted by the transmitter, otherwise known as  
15 the matched filter prototype), it can be shown that the output of the filter is equivalent to cross-correlating the input signal with the expected signal waveform. This can provide a computationally efficient method of detecting received pulse waveforms, and is used as a preprocessing method to improve the signal-to-noise ratio of the signal being processed.

20

In variants of the preferred embodiments, the matched filter operating on a signal received signal is replaced by cross-correlation between pairs of received signals. In one variant, a truncated cross-correlation process is used, using known properties of the received signals (such as the maximum interval which can be measured due to  
25 geometrical properties of the sensor array) in order to limit the computational expense of the cross-correlation process.

More details relating to the detection algorithm are given later. Now, the construction and operation of the differential timing sensor array will be described in more detail.

30

### The construction of the differential timing sensor array

Figure 16 is a plan view of a sensor array in a receiving unit. In Figure 16, the array substrate 270 contains four antennas 272, 274, 276, 278 formed on the substrate. The antennas 272, 274, 276, 278 are arranged in the shape of a non-rectangular quadrilateral in the plane of the array substrate 270 but different configurations are possible, bearing in mind that less symmetrical arrangements reduce the potential for ambiguity when measuring angular positions.

Figure 17 shows a variant of the system of Figure 16 including a transmitting component, to allow the radar system to operate in either passive or active mode (or both). In Figure 17, an array substrate 270 contains five antennas 272, 274, 276, 278, 280 formed on the substrate in a non-rectangular quadrilateral formation. The central antenna 280 serves as a dedicated transmitting antenna, but it may also serve as a fifth receiver. Alternatively, a fifth (or further) receiver may be provided to operate in receive mode only (increasing the complexity of the receiving unit but also increasing the accuracy).

With respect to the system of Figure 16, assume now that there is an object located at distances  $R1$ ,  $R2$ ,  $R3$  and  $R4$  from the receiving elements 272, 274, 276, 278 respectively.

If an object is located on the axis of the array 270 (sometimes referred to as "the boresight"), it is equidistant from all four antennas 272, 274, 276, 278, with the result that it will arrive at all four antennas 272, 274, 276, 278 simultaneously.

On the other hand, if the object is located off-axis, for example at a location with azimuth  $\phi$  and elevation  $\theta$ , there will be a time difference of approximately  $Dv \sin \theta / c$  between the signals arriving at vertically spaced receiving elements of the array 270 and a time difference of approximately  $Dh \sin \phi / c$  between the signals arriving at horizontally spaced receiving elements of the array 270 where  $Dv$  and  $Dh$  are, respectively, the vertical and horizontal distance between receiving elements in the array 270. In the above,  $c$  is the speed of light.

More specifically, the times at which the reflected signals will be received by the

receiving elements are times  $Tr1$ ,  $Tr2$ ,  $Tr3$ ,  $Tr4$ , respectively:

$$Tr1 = (2 R5 + (-D14 \sin(\theta) + D12 \sin(\phi)) / 2) / c$$

$$Tr2 = (2 R5 + (-D23 \sin(\theta) - D12 \sin(\phi)) / 2) / c$$

$$Tr3 = (2 R5 + (D23 \sin(\theta) - D34 \sin(\phi)) / 2) / c$$

5  $Tr4 = (2 R5 + (D14 \sin(\theta) + D34 \sin(\phi)) / 2) / c$

where  $D_{xy}$  is the distance between different sensors  $x$  and  $y$ , and  $R5$  is the distance between the transmitter (not shown) and the sensor array 270, these equations being approximations for small angles. The above equations can be solved (without knowledge  
10 of  $R5$ , which cannot easily be determined due to the asynchronicity of the transmitter and receiving unit) to obtain the angles  $\theta$  and  $\phi$ .

Figure 18 is a side-view of the sensor array in operation. In Figure 18, the antennas 272, 276 are again mounted on the substrate 270, and receive a signal from an object as indicated. The spacing between the antennas 282 is sufficiently small compared to the  
15 chosen minimum detection range that the incident signal paths are essentially parallel. It can be seen that the signal arrives at the antennas 272, 274, 276, 278 at different times dependent upon the transmitting object's three-dimensional location with respect to the receiving unit. The elevation angle  $\theta$  and the signal path length difference 284 (which is  
20 proportional to the time delay between reception of the signal at both antennas 272, 276) are shown.

It will be observed that there is some redundancy in the information received from an array 270 of four or more elements. This may be dealt with by selection or by an  
25 averaging or a weighting process.

It is also observed that the resolution of the array begins to degrade as the angle-of-arrival exceed 45 degrees off boresight. At 90 degrees off boresight the angular resolution is reduced (since, as can be appreciated from the geometry of the system, relatively large  
30 angular perturbations of an object around this position causes relatively small changes in the differential timing).

Correspondingly, if an object in the system is close to 90 degrees off boresight with respect to one of the receiving units, the displacement resolution can be reduced. This problem can be overcome by careful placement and orientation of the receiving units in the system so as to ensure that the transmitting objects fall as close as possible to above  
5 45 degrees off boresight in respect of at least two receiving units, for all possible locations of the object.

Various applications of the positioning system will now be discussed.

#### 10 Applications of the positioning system

The positioning system described above is particularly suited for high security environments where it may be necessary to be able to track staff and objects around a designated area. In addition to tracking staff and objects it may also be required that the system detect intruders.

15

The positioning system described above can be used to fulfill these requirements. In such a system, both staff and objects in the designated secure area are fitted with transmitting tags according to the design set out above. As previously mentioned, the tags have a radiating element 104, radiating a sequence of pulses, and the receiving units 200, 202  
20 and so on are of the type incorporating a transmitting element 280, as shown in Figure 17.

The system is designed to operate in one of two modes: active and passive. In the active mode, the system scans for signals transmitted by active objects (the tags) and determines  
25 the position of the tags using a minimum of two sensors. In the passive mode, operable concurrently with the active mode, the system scans for anomalous passive objects, that is, unknown objects which do not have an active transmitter associated with them.

In the passive mode, the transmitting element 280 in the sensor array 270 of a receiving  
30 unit 200 radiates a sequence of pulses. Part of this radiation is reflected back from the intruder and received by the array of receiving elements 272, 274, 276, 278 in the sensor.

The signals are then processed to identify candidate objects. These candidate objects are then compared to known objects, such as fixed structures in the vicinity of the receiving unit, or objects already identified by transmitting tags. An alert is generated if any objects are detected which do not correspond to the list of known objects (that is, objects identified as intruders). The anomalous object can then be tracked around the detection space surrounding the receiving unit, for example so that the exit route taken by the intruder can be ascertained.

A system consisting of two or more receiving units may be used to cover the designated area and provide positional information on tags and intruders. However, only one receiving unit is required in the passive mode, since in that case the transmitter and receiver are synchronised, allowing a pseudorange to be determined which is proportional to the measured interval between transmitting and receiving a pulse.

In this example, the multiple sensors communicate with each other over a communications link, which is either wireless or wired depending on the specific location of each receiving unit. One receiving unit acts as a master sensor, receiving all of the measurement information from each receiving unit and calculating the object position. As explained above, the pulse trains transmitted by the object tags and the transmitting element in the receiving unit are coded (channelised) to prevent interference with other tags.

Another example of use of the positioning system is in the context of a vehicle. In this case, the technology and functional operation is essentially the same in the previous example. However, in this system the sensors are used as a reversing aid, or as an intrusion detection system.

In this example the sensor operates in a passive mode (that is, detecting objects which do not incorporate a transmitter) to track objects surrounding the vehicle while the vehicle is in motion. In a second mode of operation, operable concurrently with the first mode, the sensor detects active tags such as a key fob. In this case, the vehicle may be parked

and the driver armed with the tag could be approaching the vehicle. Once the driver is within a designated area relative the vehicle, the receiving unit mounted in the car, having detected the position of the key fob and thus authenticated the driver, can activate an array of functions, such as unlocking the door closest to the driver.

5

The positioning system has many further potential uses, including, but not limited to, location-based information delivery systems, mobility-based commerce systems, precision measurement systems for surveying and measurement. The system can also enable portable high performance, high-resolution radar systems which can be range  
10 gated, have clutter rejection, possess high resolution, attain penetration results, and function as both imagers and sensors.

Further applications include through-wall sensing, radar security systems tracking movement, industrial sensing for robotic controls, automotive sensing for collision  
15 avoidance sensors, and monostatic and bistatic security bubbles for home and industrial security systems.

Furthermore, the system can be used where there is a requirement to determine positional information relating to both passive and active objects, particularly when there is no  
20 cabling to connect the objects and the receiving unit(s), and especially when the receiver and transmitter are asynchronous.

The positioning system can also be used in environments with multiple transmitting elements such as multiple tags and/or transmitter(s) within a receiving unit in the system,  
25 and when an ability to resolve range ambiguity is required (since, for example, the combined angular and differential timing readings can be combined). As noted elsewhere, in its simplest form, the system is able to resolve the position of an active object where the transmitter and receivers are all operating asynchronously with each other. There is no need to know the relative timing offsets between receiver and receiver and transmitter  
30 and receiver.

The detection algorithm employed by the control unit 300 (or equivalent processing unit) will now be described, with reference to Figure 19.

### **Detection algorithm**

5 Figure 19 is a hierarchical list of the components making up a detection algorithm 600 for use with the positioning system described above. The detection algorithm comprises common/core functions 602, the detection algorithm proper 604, and calibration functions 606, all grouped under a 'main loop' function 608.

10 The elements of the detection algorithm will now be described.

The function 608 is the main loop of the program, of the initialise, do-forever variety.

The common/core functions 602 are as follows:

15

The function 610 takes one argument, specifying a specific radar type, and returns a record containing all fundamental system parameters for the radar.

20 The function 612 fits a quadratic to the data in y as a function of x. The normalisation of the data x and y into a  $\pm 1$  square is carried out to ensure good numerical behaviour over a wide range of inputs.

25 The function 614 returns an approximation of the expected raw-data pulse envelope (typically about 10 samples). This approximate raw-data pulse envelope is the matched filter prototype used by the matched filter to detect the presence of the tag pulse.

The function 616 returns the latest scan from the radar. Each scan is (typically) an N row by 4 column matrix, with the scan starting at the top. The function includes a pre-processing step of detecting and removing any DC bias in the radar scan.

30

The function 616 is effectively the interface between the detection algorithm and the



input/output interface 320 or the data acquisition system in the receiver-side processing unit 260 (not shown).

The components of the main detection algorithm are as follows:

5

The high-level function 618 takes as its input a raw radar sweep, as provided by the function 616, and returns an implementation-specific result containing the overall results of the detection algorithm. The function encapsulates the core detection algorithm functionality, and invokes many of the specific detection functions described below.

10

The function 620 takes as its input a raw radar sweep, as provided by the function 616. The function takes a raw radar sweep, and applies a computationally-efficient detection function by rectification and low-pass filtering of the data. The function finds the maximum peak in the left-hand side of the radar sweep, and then finds the maximum peak in the right-hand side of the radar sweep. This technique can pick out the two peaks of interest given knowledge of the maximum error (in parts per million, for example) between the transmitting and receiving clocks. During a single scan of 230 ns and a transmission pulse repetition interval of 83 ns, two or three peaks will be detected.

15

20 The function 622 evaluates a polynomial at  $x$  based on a supplied descriptor. As with the function 612, the  $(y, x)$  values are normalised into a  $\pm 1$  square  $(y', x')$ . The normalisation is undertaken in order to achieve good numerical behaviour over a wide range of inputs, although this normalisation step is not essential. The function 622 can be used in curve-fitting operations.

25

The function 624 performs a number of generally optional enhancements to the radar data. Firstly, radar data is demodulated using a demodulation table of oscillator samples. Next, this basebanded data is matched-filtered against a pulse replica. The effect of the function is to improve the signal-to-noise ratio (SNR) of the signal and consequently to improve the accuracy of the subsequent detection algorithms.

30

The function 626 generates a normalised detection function from the filtered radar data by computing  $I^2 + Q^2$  for all sample pairs, where I and Q are in-phase and quadrature sample pairs. Essentially this provides a measure of the amplitude of the signal on which the subsequent stages can act.

5

The function 628 uses coarse peak estimates obtained from the function 620 (see above) to focus direct-path peak detection in sub-windows of interest in the normalised detection data. It identifies the likeliest direct-path return in the presence of multipath and long ringdowns. The function attempts to find the maximum in the subwindow, and works  
10 right to left in the subwindow, recording the last peak feature seen above threshold. Thus the most likely direct path candidate is selected.

The function 630 estimates the azimuth direction of arrival (Az) of a received signal, using array geometry and wavelength information. The elevation direction of arrival (Ez)  
15 is estimated similarly. The outputs are given in radians. This function essentially provides the angular position information for one receiving unit, which angular position information is then combined with other positional information in order to determine the displacement (that is, the location in two- or three-dimensions) of the relevant object.

20 Using methods described above, the function 632 triangulates the angular position information, preferably using knowledge of relative timings of signals received at the different receiving units and other pertinent information to refine the estimate.

In variants of the preferred embodiments, function 632 produces further outputs, for  
25 example providing an indication of the uncertainty of the estimation, or a measure of the velocity and/or expected future location of the object (if provided with further relevant positional information, such as the previous positional reading). In other variants, the location output (known herein as a displacement) can be in two-dimensions, or may be in polar coordinates.

30

The function 634 computes the feasible directions of arrivals for a channel pair, taking

into account the separation of the channels, the wavelength of the signal, and the channel phase difference.

5 A total of  $2N+1$  feasible delays are returned, where  $\arcsin(\text{delay})$  gives the direction of arrival in radians. A delay of +1 represents a wavefront propagating along the axis of a receiver pair, receiver A and receiver B, from say, A to B. A delay of 0 represents a wavefront propagating at right angles to this axis and a delay of -1 represents a wavefront propagating along the axis from B to A. 'Delay-domain' processing is used to effect the angular computation.

10

The function 640 is a calibration function, taking as its input a record containing essentially the radar scan data in a deinterleaved complex number form for convenience, and returning a complex number representing an estimate of the frequency of the radar data sample set (in terms of inter-sample phase difference).

15

It will be understood that the present invention has been described above purely by way of example, and modification of detail can be made within the scope of the invention.

Each feature disclosed in the description, and (where appropriate) the claims and  
20 drawings may be provided independently or in any appropriate combination.

## CLAIMS

1. Apparatus for determining positional information relating to an object incorporating a transmitter, the apparatus comprising  
5 means for receiving a signal transmitted by the transmitter at the object; and  
detecting means, coupled to the receiving means, for producing an output from which the angular position of the object can be determined.
2. Apparatus according to Claim 1, further comprising means for triggering the  
10 detecting means, wherein the triggering means is independent of the generation of the transmitted signal.
3. Apparatus according to Claim 2, further comprising means for receiving  
triggering control signals, and wherein the triggering means is adapted to trigger the  
15 detecting means in dependence on the received triggering control signals.
4. Apparatus according to Claim 3, wherein the triggering means is adapted to trigger the detecting means at a frequency determined by the control signals.
- 20 5. Apparatus according to any of Claims 2 to 4, wherein the transmitted signal has a characteristic repetition frequency and the triggering means is adapted to trigger the detecting means at a triggering frequency different to the characteristic repetition frequency.
- 25 6. Apparatus according to Claim 5, wherein the triggering frequency  $F_{trig}$  is determined by  $(F_{cr} / n) + F_{diff}$  where  $F_{cr}$  is the characteristic repetition frequency,  $n$  is an integer frequency divider ratio, and  $F_{diff}$  is a scan rate.
7. Apparatus according to Claim 6, wherein  $n$  is greater than 1, and preferably  
30 greater than 2, 3, 4 or 5.

8. Apparatus according to Claim 6 or 7, wherein  $F_{diff}$  is non-zero, and preferably less than 5%, 2% or 1% of the magnitude of  $F_{cr}$ , and more preferably less than 0.5% of the magnitude of  $F_{cr}$ .
- 5 9. Apparatus according to any of the preceding claims, wherein the means for receiving comprises a plurality of receiving elements, and the detecting means is adapted to detect the relative timing of the signal as received at the plurality of locations, whereby the angular position can be determined.
- 10 10. Apparatus according to Claim 9, wherein the plurality of receiving elements are arranged in a substantially planar configuration.
11. Apparatus according to any of the preceding claims, wherein the means for receiving is arranged in a single housing or on a common substrate.
- 15 12. Apparatus according to any of the preceding claims, further comprising a communications interface for communicating with a processing means.
13. Apparatus according to Claim 12, wherein the communications interface is  
20 adapted to output a plurality of signals representative of signals received at the apparatus.
14. Apparatus according to Claim 12 or 13, wherein the communicating means is adapted to receive control signals from the processing means.
- 25 15. Apparatus according to any of the preceding claims, further comprising processing means for processing the output of the detection means to determine positional information relating to the object.
16. Apparatus according to Claim 15, wherein the processing means is adapted to  
30 process the output of the detection means in conjunction with a further angular position so as to determine the displacement of the transmitter associated with the object.

17. Apparatus for determining positional information relating to an object incorporating a transmitter, the apparatus comprising:

input means for receiving from a detection means an output from which the  
5 angular position of the transmitter associated with the object can be determined; and  
processing means for processing the output of the detection means in conjunction  
with a further angular position so as to determine the displacement of the transmitter  
associated with the object.

10 18. Apparatus according to any of Claims 15 to 17, wherein the processing means  
is adapted to process the output from the detection means to determine the angular  
position of the object.

19. Apparatus according to Claim 18, wherein the processing means is adapted to  
15 compute timing differentials between a plurality of signals received from the detection  
means, and to determine the angular position in dependence on the timing differentials  
between at least two of the plurality of signals.

20. Apparatus according to any of Claims 15 to 19, wherein the processing means  
20 is adapted to process the output from the detection means to determine the pseudorange  
of the object.

21. Apparatus according to Claim 20, wherein the processing means is adapted to  
process the output from the detection means to determine the amplitude of a received  
25 signal, and to determine the pseudorange in dependence on the amplitude.

22. Apparatus according to any of Claims 16 to 21, further comprising means for  
outputting a signal representative of the displacement of the object.

30 23. Apparatus according to any of the preceding claims, wherein, given the received  
signal comprising a pulse train having a characteristic pulse frequency, the detecting

means is adapted to output a signal representative of the received pulse train.

24. Apparatus according to Claim 23, wherein the detecting means is adapted to output a signal representative of the waveform of the received pulses.

5

25. Apparatus according to Claim 23 or 24, wherein the characteristic pulse frequency is between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz.

10 26. Apparatus according to any of Claims 23 to 25, wherein the detecting means comprises means for sampling the received pulse train and producing an output having a characteristic sampling frequency related to the characteristic pulse frequency.

15 27. Apparatus according to Claim 26, wherein the sampling means comprises a downsampler, such that the output sampling frequency is lower than the characteristic pulse frequency.

28. Apparatus according to Claim 26 or 27, wherein the output sampling frequency is between 5 kHz and 100 kHz, preferably between 25 kHz and 90 kHz, and more  
20 preferably between 60 kHz and 85 kHz.

29. Apparatus according to any of Claims 26 to 28, further comprising an input sampling clock operating at substantially a given multiple of the characteristic pulse frequency, an output sampling clock operating at the output sampling frequency, and  
25 wherein the sampling means is adapted to sample the signal on receipt of the input sampling clock signal and produce a sample output on receipt of the output sampling clock signal.

30. Apparatus according to Claim 29, wherein the sampling means is adapted to  
30 produce the sample output as an average of the input samples taken since the previous output sampling clock signal.

31. Apparatus according to Claim 30, wherein the sampling means is adapted to advance the phase of the input sampling clock by a predetermined amount after the sample output is produced.
- 5 32. Apparatus according to any of the preceding claims, the receiving means being adapted to receive at least one signal further to the signal transmitted at the object, further comprising means for discriminating between the signal transmitted at the object and the or each further signal.
- 10 33. Apparatus for determining positional information relating to an object incorporating a transmitter, the apparatus comprising:  
means for receiving a plurality of signals transmitted by a plurality of transmitters including the transmitter associated with the object;  
means for discriminating between the signal transmitted at the object and any  
15 further received signals, in dependence on identification information received by the discriminating means; and  
means for determining the angular position of the object in dependence on the discriminated signal, whereby the positional information relating to the object can be determined.
- 20 34. Apparatus according to Claim 32 or 33, wherein the discriminating means is adapted to discriminate in respect of the signal in dependence on a characteristic property of the signal.
- 25 35. Apparatus according to Claim 34, wherein the characteristic property is a pulse repetition frequency of the signal.
36. Apparatus according to Claim 34 or 35, wherein the characteristic property is a pulse position modulation characteristic.
- 30 37. Apparatus according to any of Claims 34 to 36, wherein the signal is time-



division multiplexed.

38. Apparatus according to any of Claims 34 to 37, wherein the discriminating means comprises a detection clock operable to drive the detection of the signal, and means for  
5 setting the detection clock in dependence on a selected signal frequency, whereby signals having the selected signal frequency are preferentially detected by the apparatus.

39. Apparatus according to Claim 38, further comprising means for detecting a frequency error between the selected signal frequency and the received signal, and means  
10 for compensating for the frequency error.

40. Apparatus according to Claim 39, wherein the means for detecting a frequency error is adapted to estimate the signal frequency in dependence on the interval between successive peaks in the received signal corresponding to the peaks of successive pulses,  
15 and to compare the estimated signal frequency with the detection frequency.

41. Apparatus according to Claim 39 or 40, wherein the means for detecting a frequency error is adapted to compute an estimated signal frequency in dependence on the phase difference of consecutive samples, and to compare the estimated signal  
20 frequency with the detection frequency.

42. Apparatus according to any of Claims 39 to 41, wherein the means for compensating for the frequency error is adapted to create a matched filter prototype representing an expected signal shape as effected by the estimated frequency error.  
25

43. Apparatus according to any of Claims 15 to 42, wherein the processing means comprises means for determining the interval between the reception of the signal at a plurality of receiving elements, thereby allowing the angular position of the object to be determined.  
30

44. Apparatus according to Claim 43, wherein the means for determining the interval

is operative to cross-correlate the signals received at at least one pair of receiving elements, and to determine the interval in dependence on the cross-correlation results.

45. Apparatus according to Claim 43 or 44, wherein the means for determining the  
5 interval is operative to apply a matched filter to the signals received at the plurality of receiving elements, and to determine the time difference in dependence on the output of the matched filter.

46. Apparatus for determining positional information relating to an object,  
10 comprising  
means for receiving, comprising a plurality of receiving elements;  
detection means for detecting signals received at the receiving elements and for generating output signals representative of the received signals; and  
processing means operable by application of a matched filter to detect the interval  
15 between signals received by a plurality of the receiving elements, whereby to determine an angular position of the object.

47. Apparatus according to any of the preceding claims, wherein the receiving and detecting means are adapted to receive and detect a signal having a bandwidth greater  
20 than 5%, 10%, or 20% of its frequency.

48. Apparatus according to any of the preceding claims, further comprising means  
for transmitting a probe signal towards the object, and wherein the means for receiving  
is adapted to receive a reflection of the probe signal from the object.

25

49. Apparatus according to Claim 48, wherein the means for transmitting a probe  
signal is adapted to transmit a different signal to the signal transmitted by the transmitter  
associated with the object.

30 50. Apparatus according to Claim 48 or 49, further comprising means for encoding the probe signal, whereby it can be distinguished from the signal received from the

object.

51. Apparatus according to any of Claims 48 to 50, further comprising means for determining the positional information of an object irradiated by the probe signal.

5

52. Apparatus according to Claim 51, further comprising means for comparing the positional information of the irradiated object to positional information relating to at least one known object, whereby anomalous objects can be identified.

10 53. Apparatus according to Claim 52, further comprising means for generating an alert signal in dependence on the result of the comparison.

54. Apparatus according to Claim 52 or 53, wherein the or each object includes the object incorporating a transmitter.

15

55. Apparatus for searching a detection volume for an object transmitting a signal, comprising:

an array comprising a plurality of receiving elements;

detecting means for detecting signals arriving at the receiving elements and for

20 generating output signals representative of the received signals; and

processing means for determining the interval between signals received at a plurality of the receiving elements, whereby the existence of the object and its angular position can be determined.

25 56. Apparatus according to any of the preceding claims, the detecting means being operable to detect signals within a given range gate, further comprising means for varying the range gate, whereby objects which are not synchronised with the apparatus can be detected.

30 57. Apparatus according to any of the preceding claims, further comprising means for calibrating the apparatus with a like apparatus.

58. Apparatus for determining positional information relating to an object incorporating a transmitter, the apparatus comprising:

means for receiving a signal transmitted by the transmitter at the object;

5 detecting means, coupled to the receiving means, for detecting signals received by the receiving means and generating output signals representative of the received signals; and

means for calibrating the apparatus with a like apparatus.

10 59. Apparatus according to Claim 57 or 58, wherein the calibrating means is adapted to receive a signal from a reference transmitter and to output signals representative of the received reference signals.

60. Apparatus according to Claim 59, wherein the calibrating means is adapted to  
15 output signals representative of a timing difference between the arrival time of a signal from the transmitter associated with the object and a signal from the reference transmitter.

61. Apparatus according to any of Claims 57 to 60, wherein the calibration means  
20 comprises means for triggering the detection means in dependence on a common clock signal.

62. Apparatus according to Claim 61, wherein the triggering means is adapted to  
25 initiate a scan of the received signal at a predetermined time offset from receipt of the common clock signal.

63. Apparatus according to any of the preceding claims, further comprising the object.

30 64. Apparatus according to any of the preceding claims, further comprising means for determining the displacement of the object in dependence on a plurality of angular

position measurements.

65. A system for locating an object incorporating a transmitter, comprising at least one apparatus as defined in any of the preceding claims, and means for determining the  
5 displacement of the object in dependence on a plurality of determined angular positions.

66. A system for locating an object incorporating a transmitter, comprising:  
at least one apparatus including means for receiving signals from the object and  
outputting a signal representative of the received signals;  
10 means for determining the angular position of the object with respect to the or  
each apparatus; and  
processing means for determining the displacement of the object in dependence  
on the determined angular position information.

15 67. A system according to Claim 65 or 66, comprising a plurality of apparatuses,  
preferably less than 4, and preferably less than 3.

68. A system according to any of Claims 65 to 67, wherein the or each means for  
receiving are asynchronous.

20 69. A positioning system according to any of Claims 65 to 68, further comprising at  
least one object and associated transmitter.

70. A vehicle including apparatus as claimed in any of Claims 1 to 64.

25 71. Apparatus as claimed in any of Claims 1 to 64, operable to receive and detect  
signals transmitted via an attenuating medium.

72. Apparatus for transmitting a positioning signal, comprising means for generating  
30 a signal and means for transmitting the signal, wherein the signal generating means is  
adapted to generate a signal which is suitable for use with apparatus as claimed in any of

Claims 1 to 64.

73. Apparatus according to Claim 72, wherein the signal generating means is adapted to generate a signal comprising a pulse train having a characteristic repetition frequency.

5

74. Apparatus according to Claim 73, wherein the characteristic repetition frequency is between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz.

10 75. Apparatus according to Claim 73 or 74, wherein the pulses has a characteristic frequency of between 0.5 GHz and 24 GHz, preferably between 2 GHz and 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz.

15 76. Apparatus according to any of Claims 72 to 75, wherein the signal generating means is adapted to vary a characteristic property of the generated signal in dependence on a discrimination input.

77. Apparatus according to Claim 76, wherein the characteristic property is a pulse repetition frequency of the signal.

20

78. Apparatus according to Claim 76 or 77, wherein the characteristic property is a pulse position modulation characteristic.

25 79. Apparatus according to any of Claims 72 to 78, further comprising means for time-division multiplexing the signal.

80. Apparatus according to any of Claims 72 to 79, wherein the signal generating means is adapted to generate a signal having a bandwidth greater than 5%, 10%, or 20% of its frequency.

30

81. A method of determining positional information relating to an object, the method

comprising

- generating a signal;
- transmitting the signal from the object to a receiving apparatus;
- detecting the signal at the receiving apparatus; and
- 5 producing an output from which the angular position of the object relative to the receiving apparatus can be determined.

82. A method according to Claim 81, further comprising triggering the detection of the signal at the receiving apparatus, wherein the triggering is independent of the  
10 generation of the transmitted signal.

83. A method according to Claim 82, further comprising triggering the detection of the signal in dependence on received triggering control signals.

15 84. A method according to Claim 83, wherein the detection of the signal is triggered at a frequency determined by the control signals.

85. A method according to any of Claims 82 to 84, wherein the signal is generated with a characteristic repetition frequency and the detection of the signal is triggered at a  
20 triggering frequency different to the characteristic repetition frequency.

86. A method according to Claim 85, wherein the triggering frequency  $F_{trig}$  is determined by  $(F_{cr} / n) + F_{diff}$ , where  $F_{cr}$  is the characteristic repetition frequency,  $n$  is an integer frequency divider ratio, and  $F_{diff}$  is a scan rate.  
25

87. A method according to Claim 86, wherein  $n$  is greater than 1, and preferably greater than 2, 3, 4 or 5.

88. A method according to Claim 86 or 87, wherein  $F_{diff}$  is non-zero, and preferably  
30 less than 5%, 2% or 1% of the magnitude of  $F_{cr}$ , and more preferably less than 0.5% of the magnitude of  $F_{cr}$ .

89. A method according to any of Claims 81 to 88, further comprising receiving the signal at a plurality of receiving elements, and detecting the relative timing of the signal as received at the plurality of locations, whereby the angular position of the object relative to the receiving apparatus can be determined.
- 5 90. A method according to any of Claims 81 to 89, further comprising outputting a plurality of signals representative of signals received at the receiving apparatus.
91. A method according to any of Claims 81 to 90, further comprising receiving  
10 control signals at the receiving apparatus.
92. A method according to any of Claims 81 to 91, further comprising processing the signals received from the object to determine positional information relating to the object.
- 15 93. A method according to Claim 92, wherein the step of processing the received signals comprises processing the signals in conjunction with a further angular position so as to determine the displacement of the object relative to the receiving apparatus.
94. A method according to Claim 92 or 93, further comprising computing timing  
20 differentials between a plurality of signals received at the receiving apparatus, and determining the angular position in dependence on the timing differentials between at least two of the plurality of signals.
95. A method according to any of Claims 81 to 94, further comprising determining  
25 the pseudorange of the object.
96. A method according to Claim 95, wherein the step of determining the pseudorange comprises determining the amplitude of a received signal, and determining the pseudorange in dependence on the amplitude.
- 30 97. A method according to any of Claims 81 to 96, further comprising outputting a



signal representative of the displacement of the object.

98. A method according to any of Claims 81 to 97, wherein the step of generating the signal comprises generating a pulse train having a characteristic pulse frequency.

5

99. A method according to Claim 98, further comprising outputting at the receiving apparatus an output representative of the received pulse train.

100. A method according to Claim 99, further comprising outputting a signal  
10 representative of the waveform of the received pulses.

101. A method according to any of Claims 98 to 100, wherein the characteristic pulse frequency is between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz.

15

102. A method according to any of Claims 98 to 101, further comprising sampling the received pulse train and producing an output having a characteristic sampling frequency related to the characteristic pulse frequency.

20 103. A method according to Claim 102, wherein the output sampling frequency is lower than the characteristic pulse frequency.

104. A method according to Claim 102 or 103, wherein the output sampling frequency is between 5 kHz and 100 kHz, preferably between 25 kHz and 90 kHz, and more  
25 preferably between 60 kHz and 85 kHz.

105. A method according to any of Claims 102 to 104, further comprising generating an input sampling clock signal at substantially a given multiple of the characteristic pulse frequency, generating an output sampling clock signal at the output sampling frequency,  
30 and sampling the signal on receipt of the input sampling clock signal and producing a sample output on receipt of the output sampling clock signal.

106. A method according to Claim 105, further comprising producing the sample output as an average of the input samples taken since the previous output sampling clock signal.
- 5 107. A method according to Claim 106, further comprising advancing the phase of the input sampling clock by a predetermined amount after the sample output is produced.
108. A method according to any of Claims 81 to 107, further comprising discriminating at the receiving unit between the signal transmitted at the object and at  
10 least one further signal.
109. A method of determining positional information relating to an object, the method comprising:
- receiving a plurality of signals transmitted by a plurality of transmitters including  
15 a transmitter associated with the object;
- discriminating between the signal transmitted at the object and any further received signals, in dependence on identification information; and
- determining the angular position of the object in dependence on the discriminated signal, whereby the positional information relating to the object can be determined.  
20
110. A method according to Claim 108 or 109, wherein the discriminating step comprises discriminating in dependence on a characteristic property of the signal.
111. A method according to Claim 110, wherein the characteristic property is a pulse  
25 repetition frequency of the signal.
112. A method according to Claim 110 or 111, wherein the characteristic property is a pulse position modulation characteristic.
- 30 113. A method according to any of Claims 110 to 112, wherein the signal is time-division multiplexed.

114. A method according to any of Claims 111 to 113, further comprising detecting the signal in dependence on a detection clock, and setting the detection clock in dependence on a selected signal frequency, whereby signals having the selected signal frequency are preferentially detected.

5

115. A method according to Claim 114, further comprising detecting a frequency error between the selected signal frequency and the received signal, and compensating for the frequency error.

10 116. A method according to Claim 115, wherein the step of detecting a frequency error comprises estimating the signal frequency in dependence on the interval between successive peaks in the received signal corresponding to the peaks of successive pulses, and comparing the estimated signal frequency with the detection frequency.

15 117. A method according to Claim 115 or 116, wherein the step of detecting a frequency error comprises computing an estimated signal frequency in dependence on the phase difference of consecutive samples, and comparing the estimated signal frequency with the detection frequency.

20 118. A method according to any of Claims 115 to 117, wherein the step of compensating for the frequency error comprises creating a matched filter prototype representing an expected signal shape as effected by the estimated frequency error.

119. A method according to any of Claims 81 to 118, further comprising determining  
25 the interval between the reception of the signal at a plurality of locations, thereby allowing the angular position of the object to be determined.

120. A method according to Claim 119, wherein the step of determining the interval  
30 comprises cross-correlating the signals received at at least one pair of locations, and determining the interval in dependence on the cross-correlation results.

121. A method according to Claim 119 or 120, wherein step of determining the interval comprises applying a matched filter to the signals received at the plurality of locations, and determining the time difference in dependence on the output of the matched filter.

5

122. A method of determining positional information relating to an object, comprising receiving a signal at a plurality of locations;

detecting signals received at the locations generating output signals representative of the received signals; and

10 applying a matched filter to detect the interval between signals received at a plurality of the locations, whereby to determine an angular position of the object.

123. A method according to any of Claims 81 to 122, wherein the received signal has a bandwidth greater than 5%, 10%, or 20% of its frequency.

15

124. A method according to any of Claims 81 to 123, further comprising transmitting a probe signal towards the object, and receiving a reflection of the probe signal from the object.

20 125. A method according to Claim 124, wherein the step of transmitting a probe signal comprises transmitting a signal different to the signal transmitted at the object.

126. A method according to Claim 124 or 125, further comprising encoding the probe signal, whereby it can be distinguished from the signal received from the object.

25

127. A method according to any of Claims 124 to 126, further comprising determining the positional information of an object irradiated by the probe signal.

30 128. A method according to Claim 127, further comprising comparing the positional information of the irradiated object to positional information relating to at least one known object, whereby anomalous objects can be identified.

129. A method according to Claim 128, further comprising generating an alert signal in dependence on the result of the comparison.

130. A method according to Claim 128 or 129, wherein the or each object includes the  
5 object transmitting the signal received at the receiving apparatus.

131. A method of searching a detection volume for an object transmitting a signal, comprising  
receiving a signal at a plurality of locations;  
10 detecting signals arriving at the locations generating output signals representative of the received signals; and  
determining the interval between signals received at a plurality of the locations, whereby the existence of the object and its angular position can be determined.

15 132. A method according to any of Claims 81 to 131, further comprising detecting signals within a given range gate, and varying the range gate, whereby objects which are not synchronised with the receiving apparatus can be detected.

133. A method according to any of Claims 81 to 132, further comprising calibrating  
20 the receiving apparatus with a like apparatus.

134. A method of determining positional information relating to an object, the method comprising:  
detecting at a receiving apparatus signals transmitted at the object;  
25 generating output signals representative of the received signals; and  
calibrating the receiving apparatus with a like apparatus.

135. A method according to Claim 133 or 134, further comprising receiving a signal from a reference transmitter and outputting signals representative of the received  
30 reference signals.

136. A method according to Claim 135, further comprising outputting signals representative of a timing difference between the arrival time of a signal transmitted at the object and a signal transmitted at the reference transmitter.

5 137. A method according to any of Claims 133 to 136, wherein the step of calibrating the receiving apparatus comprises triggering the detection means in dependence on a common clock signal.

138. A method according to Claim 137, further comprising initiating a scan of the  
10 received signal at a predetermined time offset from receipt of the common clock signal.

139. A method according to any of Claims 81 to 138, further comprising determining the displacement of the object in dependence on a plurality of angular position measurements.

15

140. A method according to any of Claims 81 to 139, further comprising receiving and detecting signals transmitted via an attenuating medium.

141. A method of transmitting a positioning signal, comprising generating a signal and  
20 transmitting the signal, wherein the signal is suitable for use with apparatus as claimed in any of Claims 1 to 64.

142. A method of transmitting a positioning signal, comprising generating a signal and transmitting the signal, wherein the signal is suitable for use with a method as claimed  
25 in any of Claims 81 to 139.

143. A method according to Claim 141 or 142, wherein signal comprises a pulse train having a characteristic repetition frequency.

30 144. A method according to Claim 143, wherein the characteristic repetition frequency is between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more

preferably between 10.5 MHz and 13.5 MHz.

145. A method according to Claim 143 or 144, wherein the pulses have a characteristic frequency of between 0.5 GHz and 24 GHz, preferably between 2 GHz and  
5 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz.

146. A method according to any of Claims 141 to 145, further comprising varying a characteristic property of the generated signal in dependence on a discrimination input.

10 147. A method according to Claim 146, wherein the characteristic property is a pulse repetition frequency of the signal.

148. A method according to Claim 146 or 147, wherein the characteristic property is a pulse position modulation characteristic.

15

149. A method according to any of Claims 141 to 148, further comprising time-division multiplexing the signal.

150. A method according to any of Claims 141 to 149, wherein the signal has a  
20 bandwidth greater than 5%, 10%, or 20% of its frequency.

151. A signal suitable for use with apparatus as claimed in any of Claims 1 to 64.

152. A signal comprising a pulse train having a characteristic pulse frequency,  
25 wherein the characteristic pulse frequency is between 2 MHz and 20 MHz, preferably between 5 MHz and 15 MHz, and more preferably between 10.5 MHz and 13.5 MHz, and the pulses have a characteristic frequency of between 0.5 GHz and 24 GHz, preferably between 2 GHz and 12 GHz, and more preferably between 5.8 GHz and 7.2 GHz.

30

153. A signal according to Claim 151 or 152, encoded using time-division

multiplexing.

154. A signal according to any of Claims 151 to 153, encoded using pulse-position modulation.

5

155. A computer program product adapted to perform a method as claimed in any of Claims 72 to 150.

156. A computer readable medium tangibly embodying a computer program product  
10 as claimed in Claim 155.

157. A signal tangibly embodying a computer program product as claimed in Claim 154.

15 158. Apparatus substantially as described herein, with reference to Figures 1 to 16 of the drawings.

159. A method substantially as described herein, with reference to Figures 1 to 16 of the drawings.

20



**ABSTRACT**

**SYSTEM FOR DETERMINING POSITIONAL INFORMATION**

An apparatus (200) for determining positional information relating to an object (102) incorporating a transmitter (104) is disclosed, the apparatus in one embodiment comprising means (210) for receiving a signal (106) transmitted by the transmitter (104) at the object (102), and detecting means (230), coupled to the receiving means (210), for producing an output (302) from which the angular position of the object (102) can be determined. The apparatus finds particular application in active tracking systems such as inventory control and intruder detection systems and vehicle security systems.

(Fig. 1)

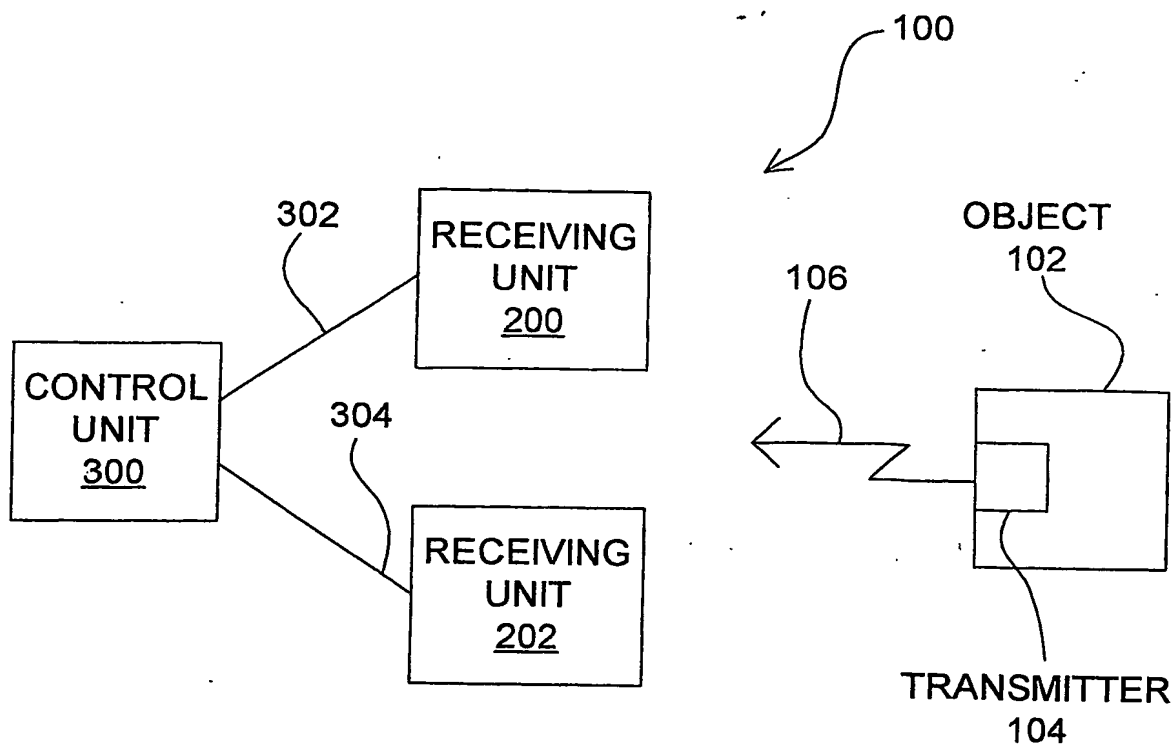


Figure 1

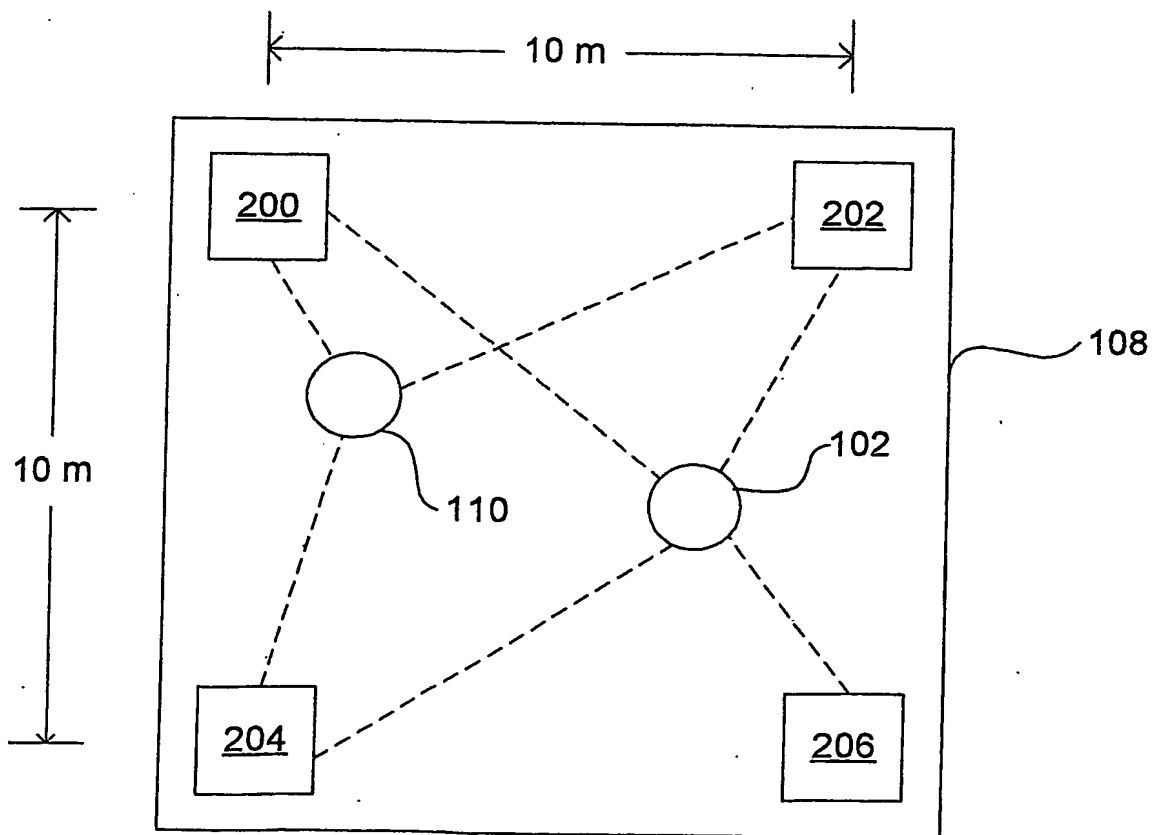
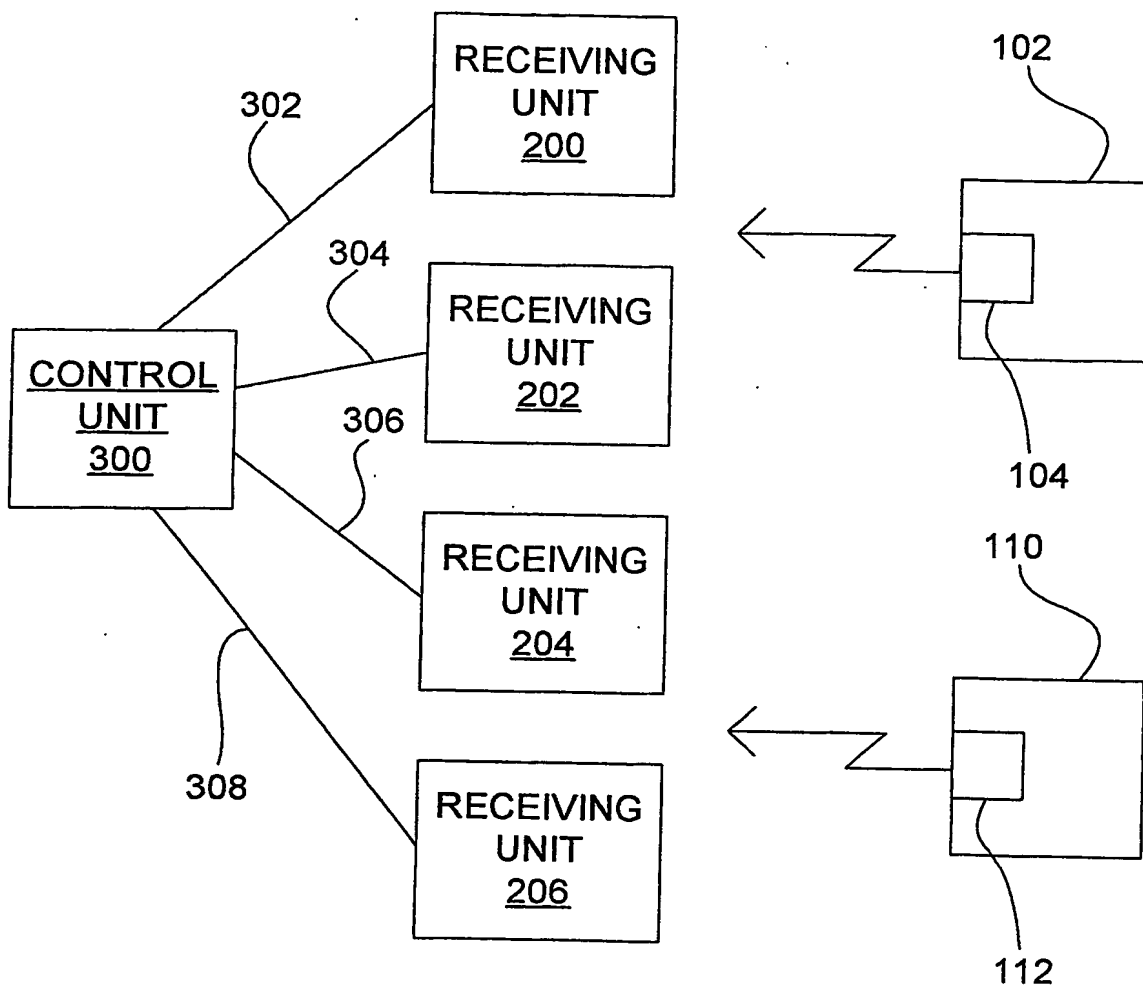
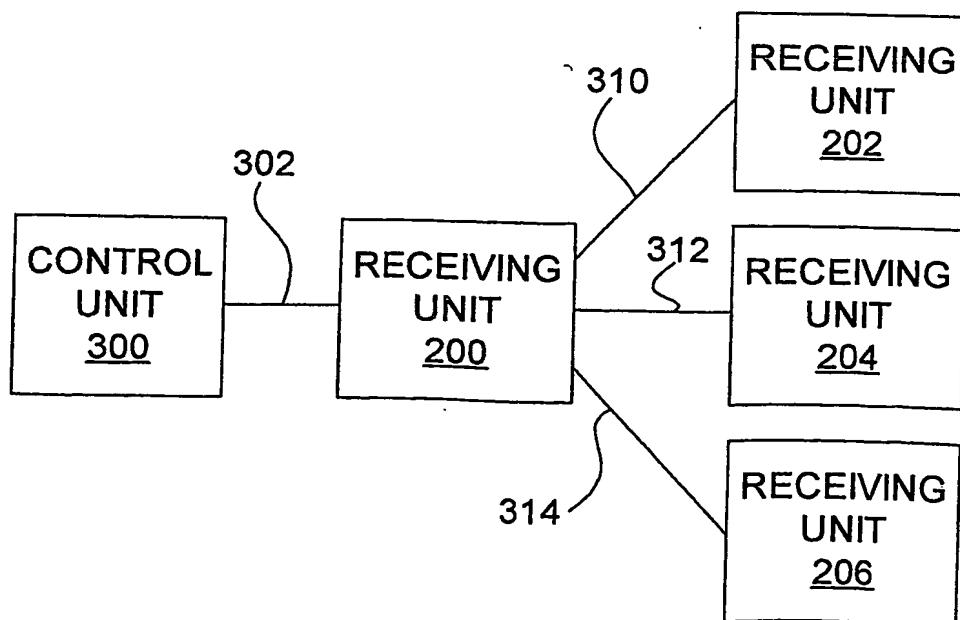


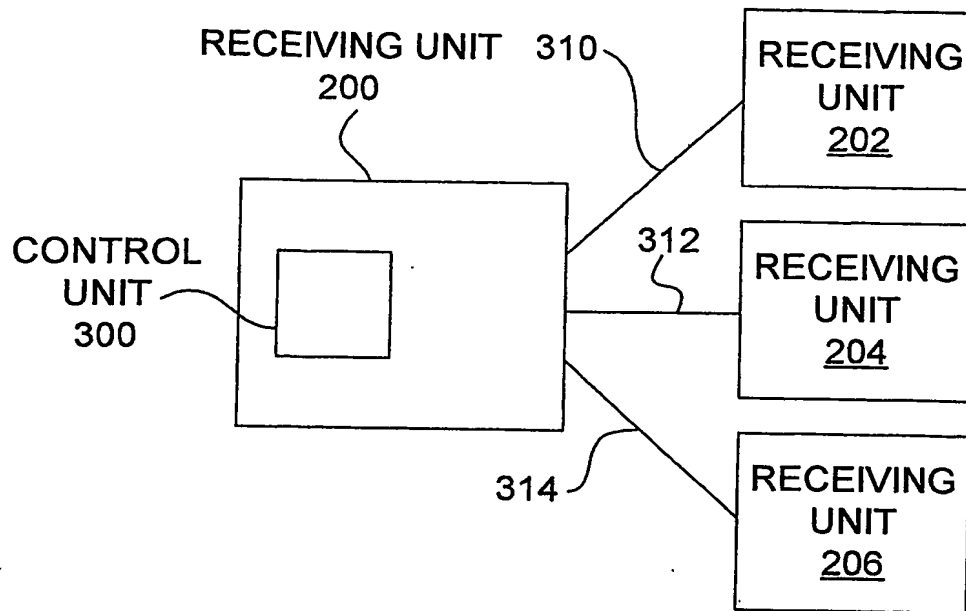
Figure 2



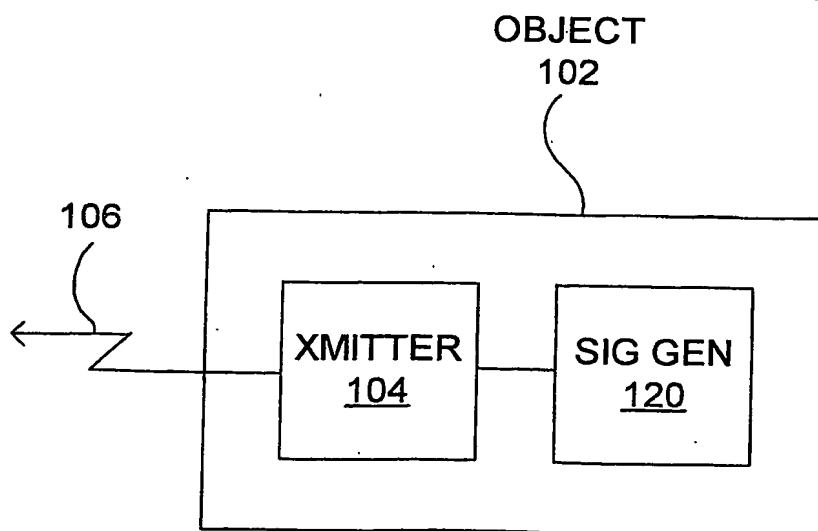
**Figure 3**



**Figure 4**



**Figure 5**



**Figure 6**

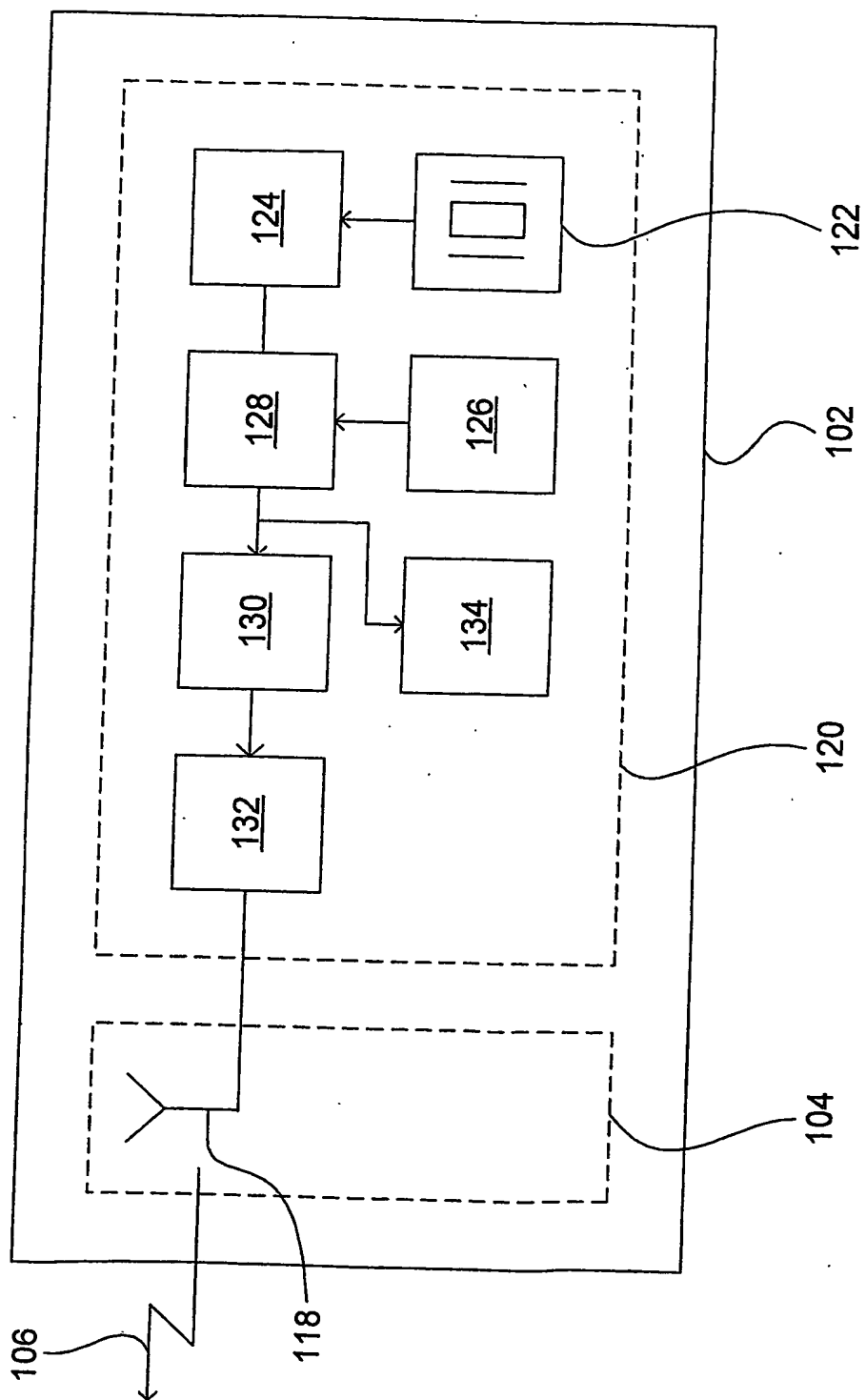


Figure 7

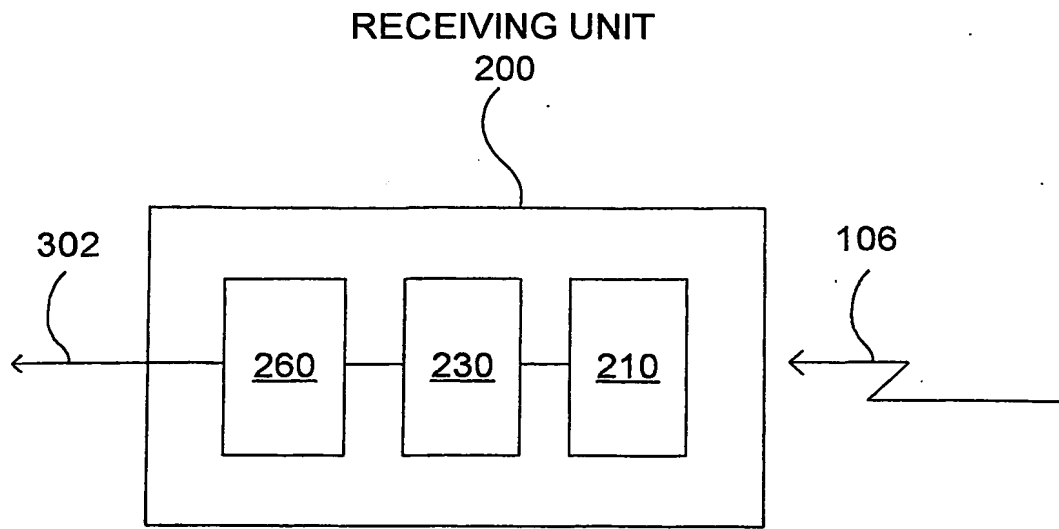


Figure 8

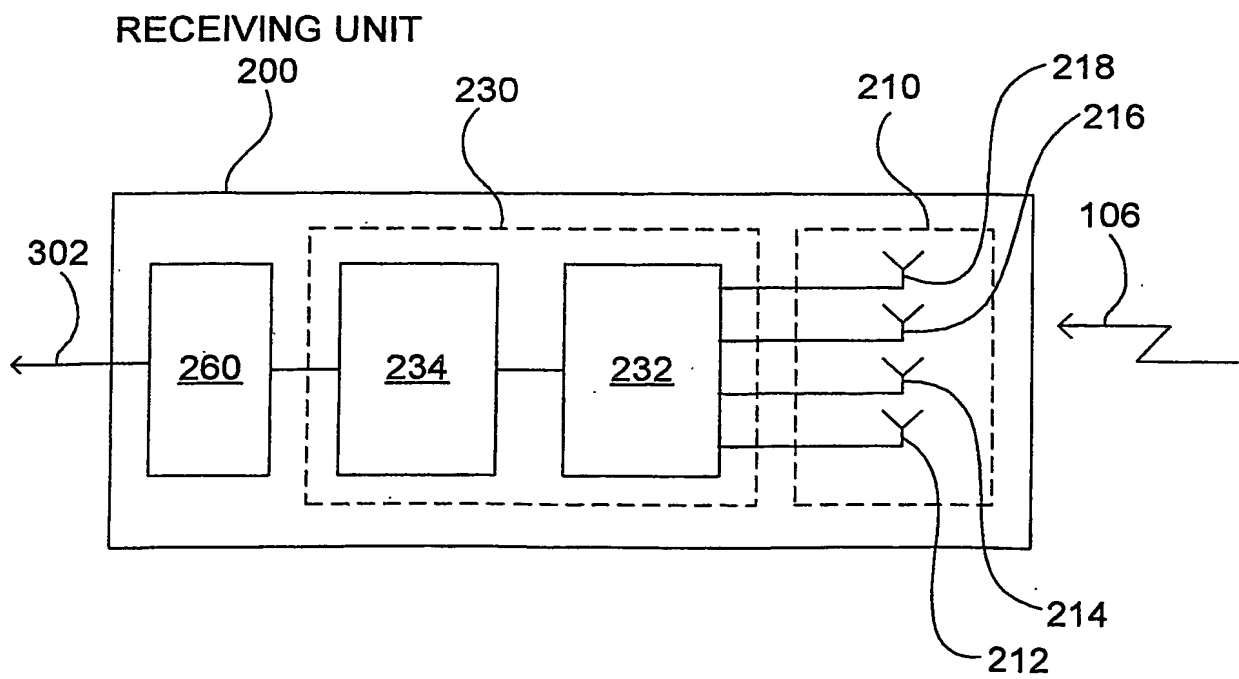


Figure 9

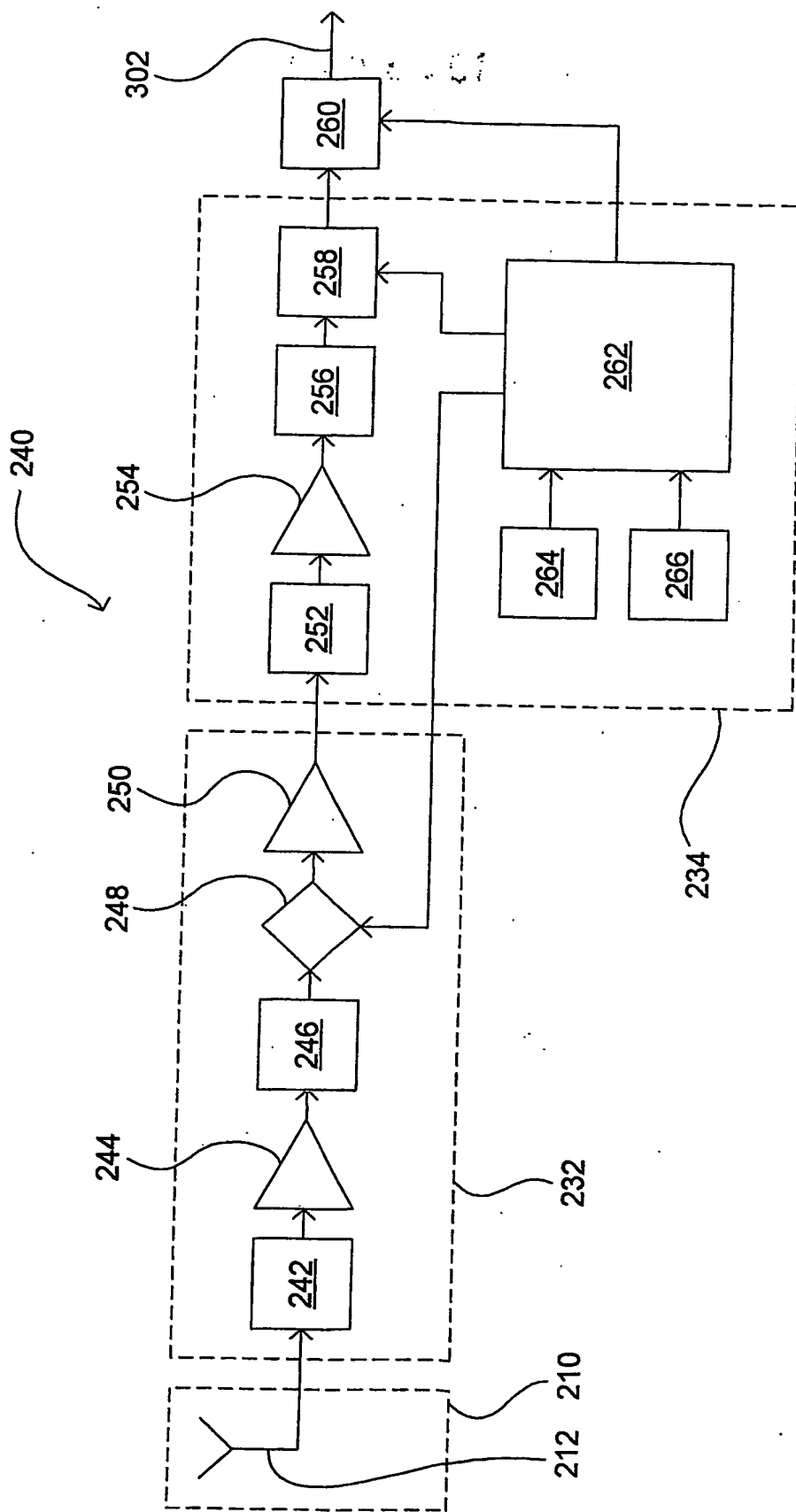


Figure 10

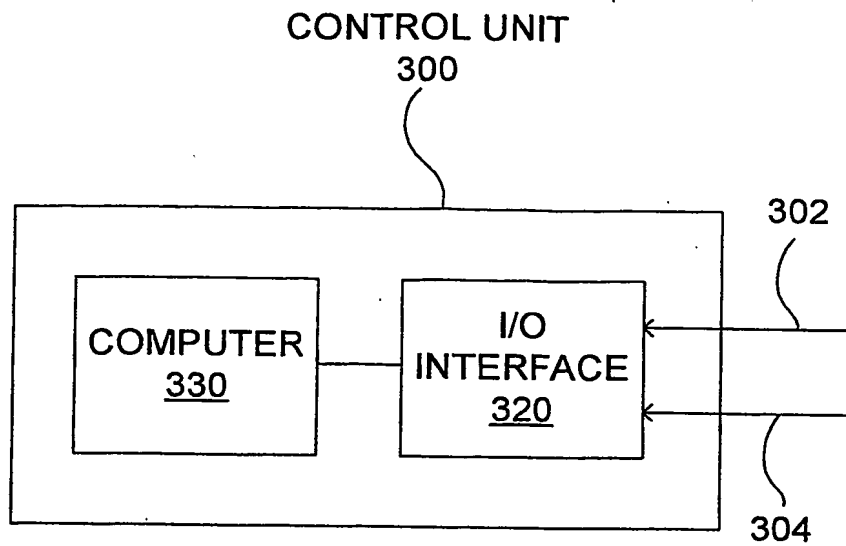


Figure 11

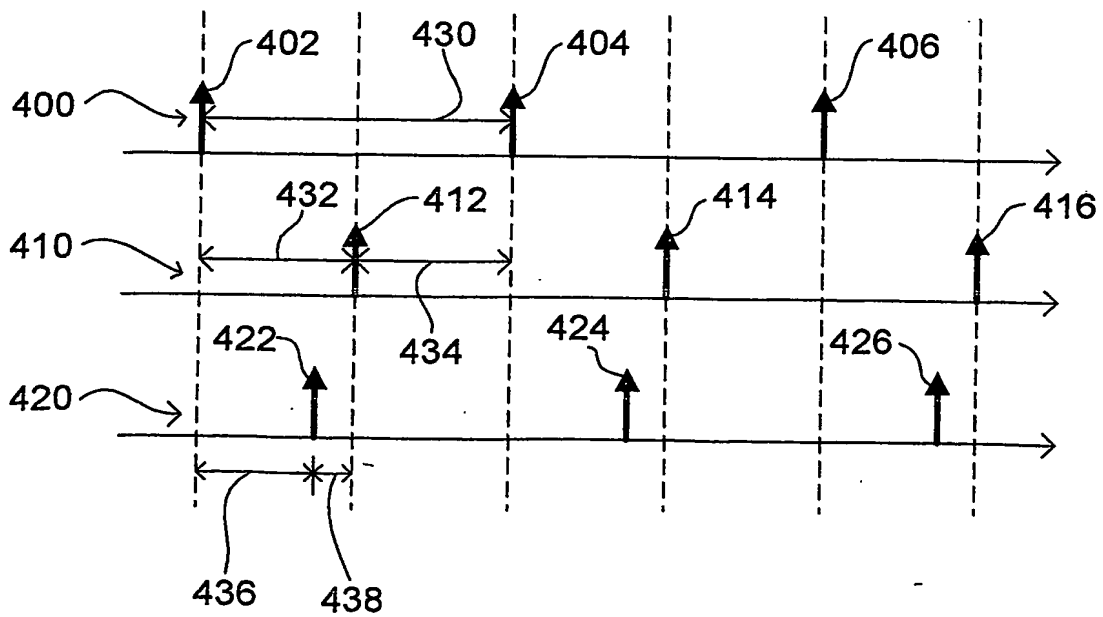
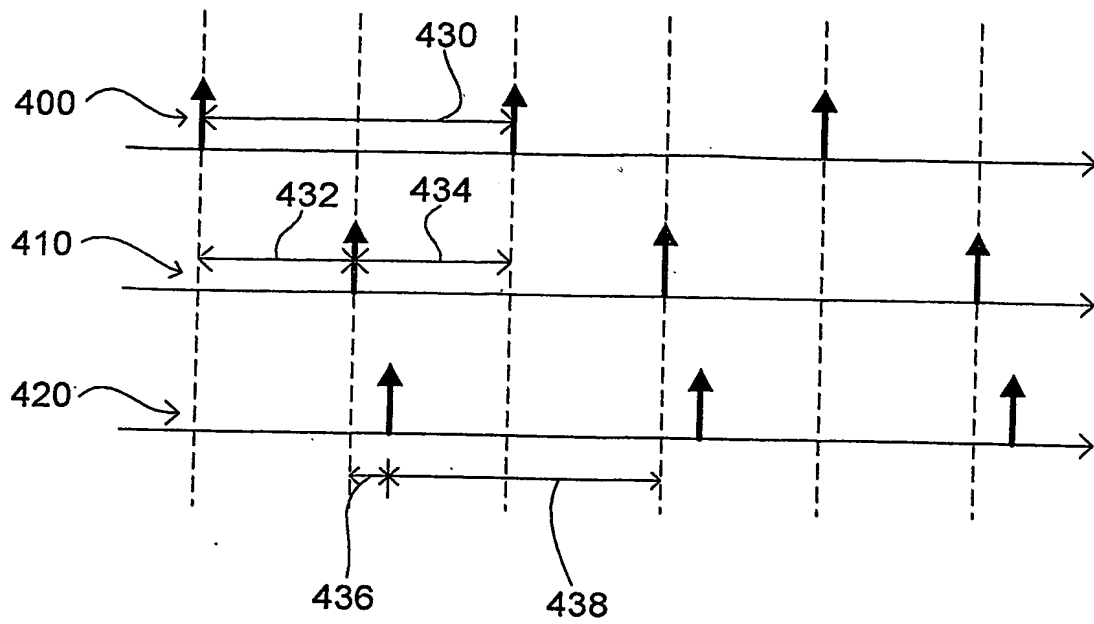


Figure 12





**Figure 13**

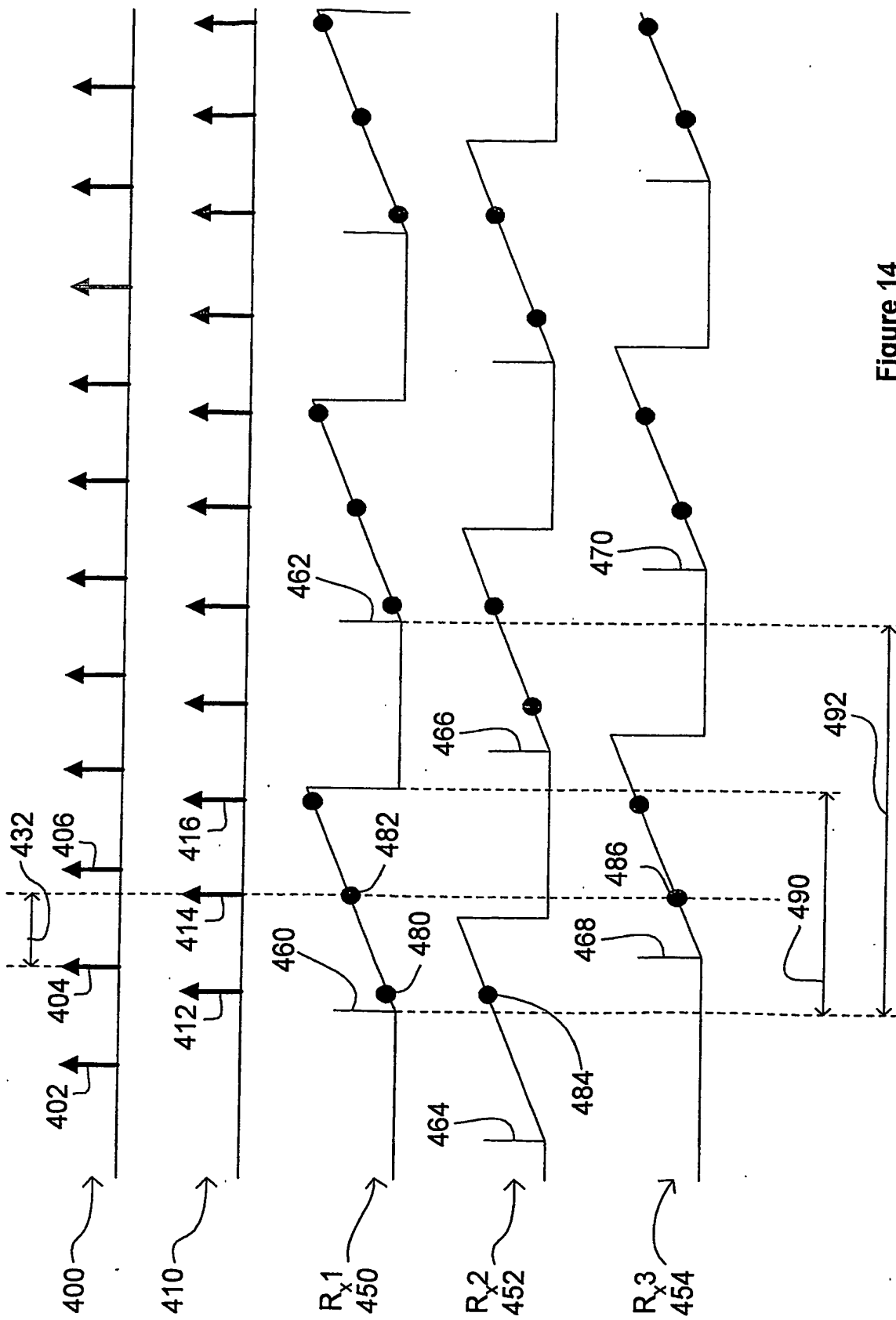


Figure 14

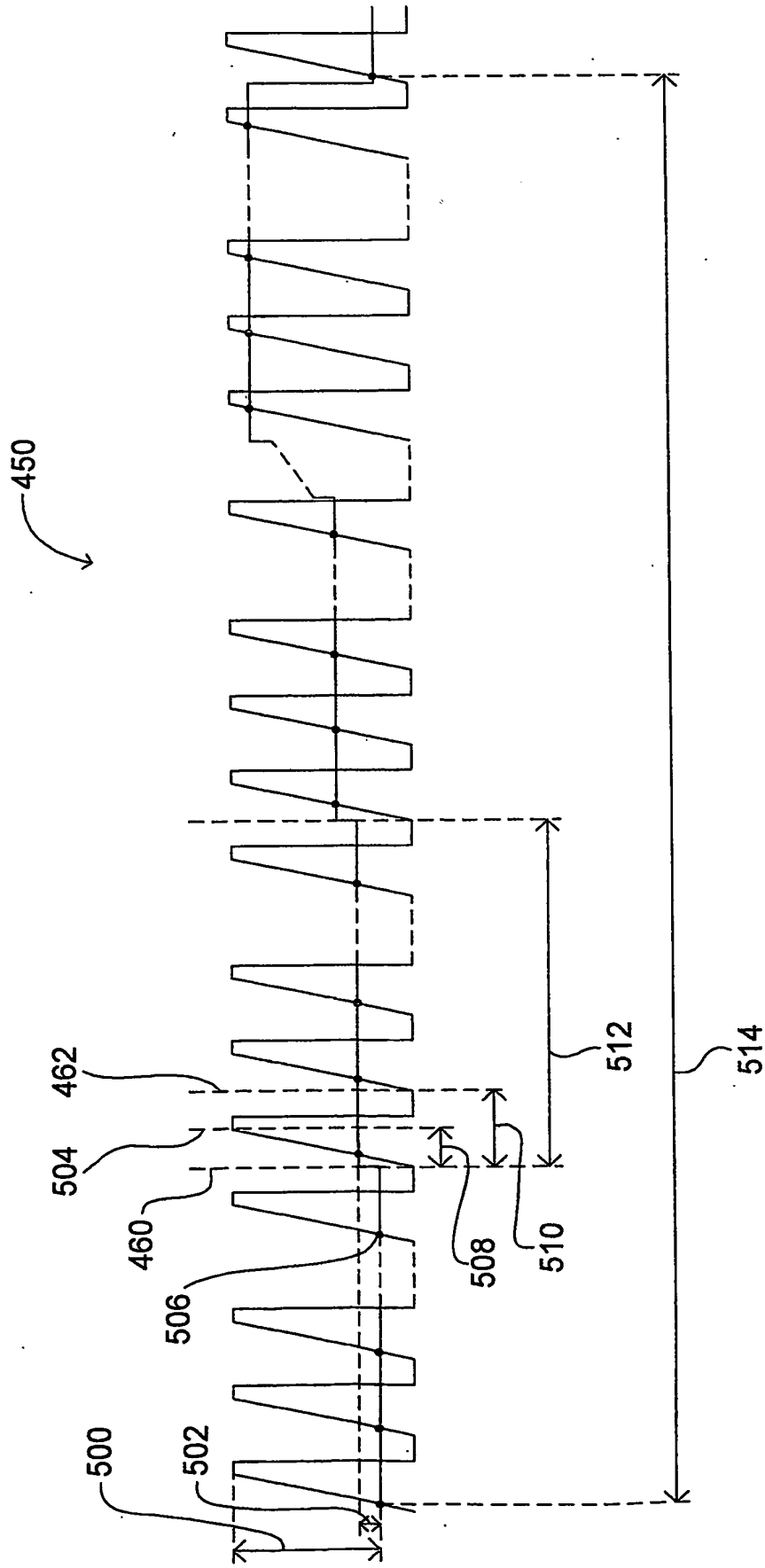


Figure 15

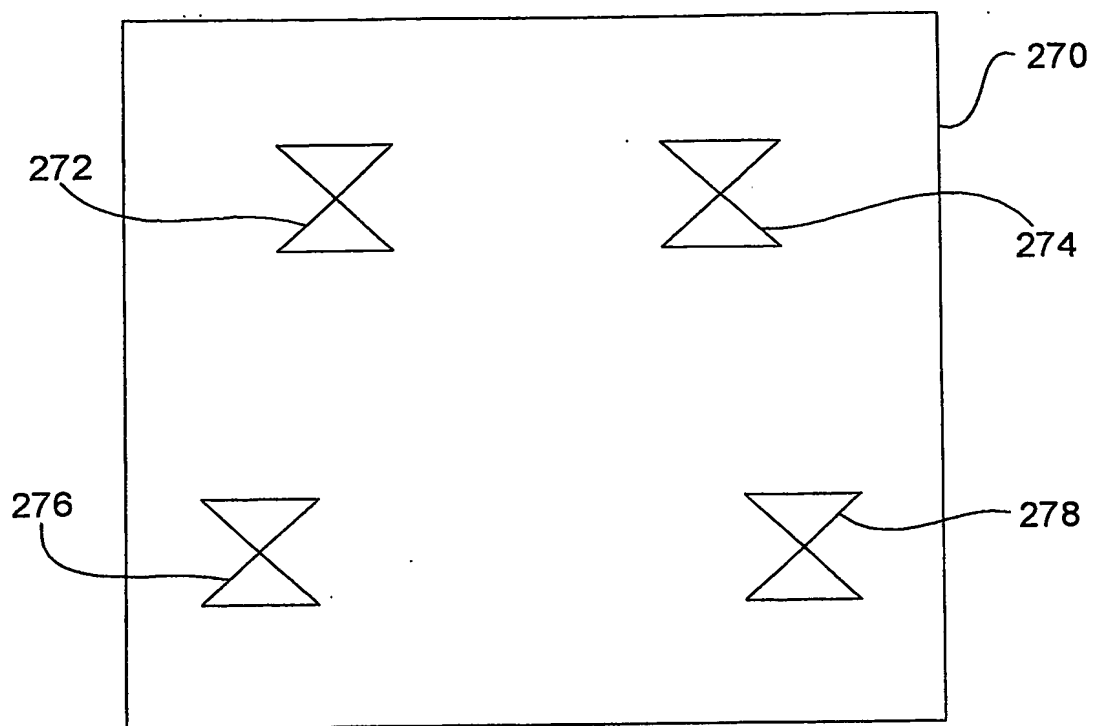


Figure 16

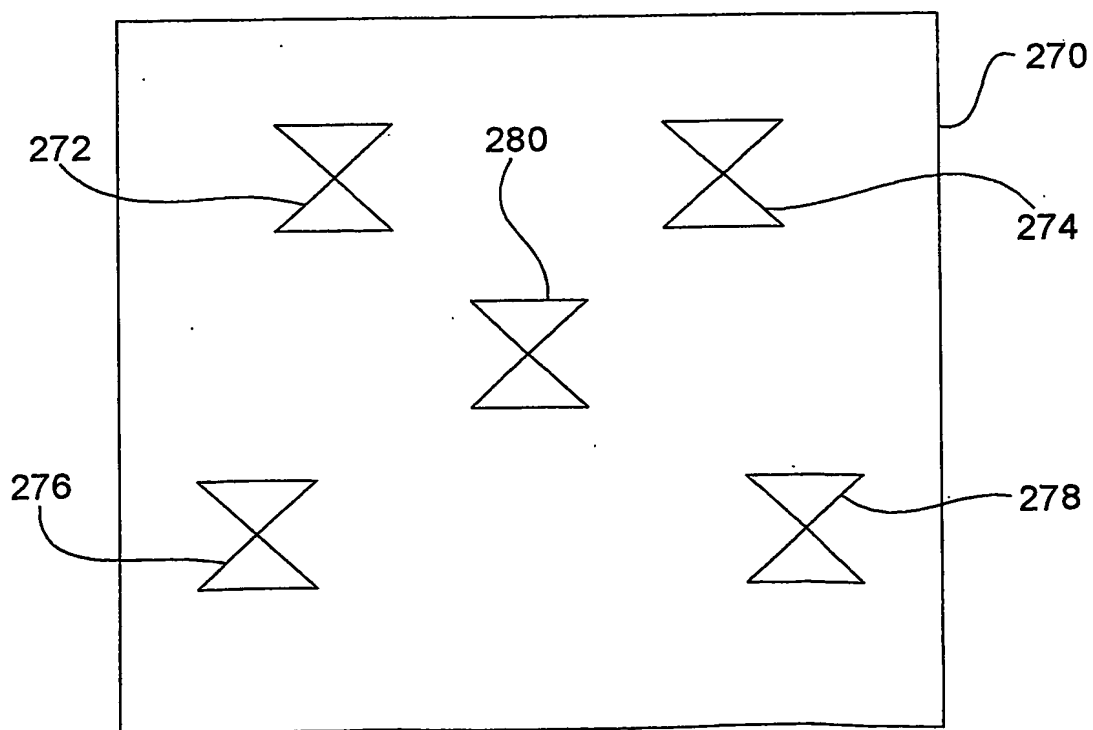
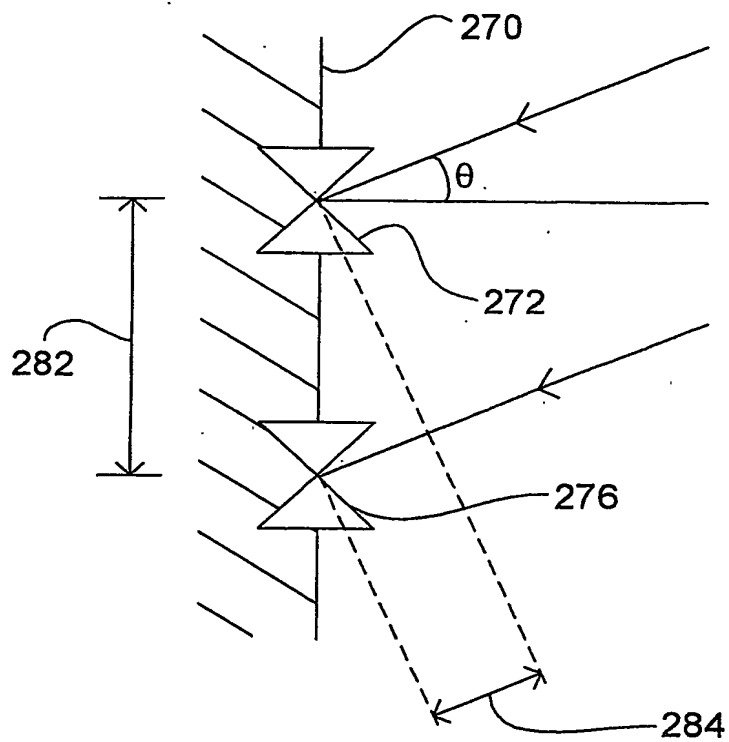


Figure 17



**Figure 18**

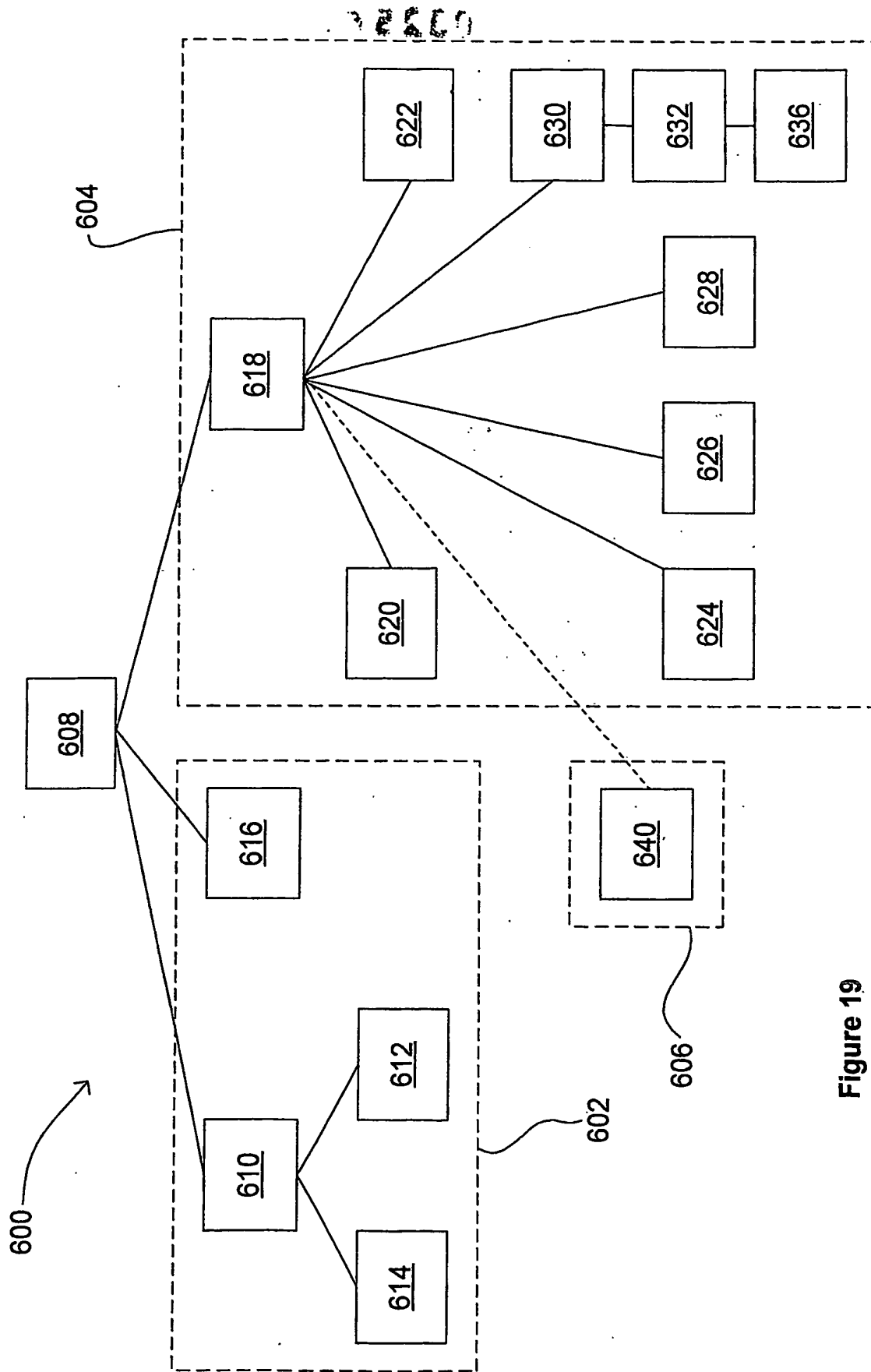


Figure 19

**This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record.**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**